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The imbricated foreshock and aftershock activities of the Balsorano (Italy) M_w 4.4 normal fault earthquake and implications for earthquake initiation

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Key words:

- earthquake initiation process

- earthquake sequence

- spatio-temporal evolution

Key points:

- The analysis of the 2019 Balsorano earthquake sequence reveals that imbricated complex processes occur before and after the main earthquake

- Clear differences between foreshocks and aftershocks are highlighted by the distinct spatio-temporal patterns unraveled by our analysis

- These results demonstrate that simple earthquake preparation models are not suitable enough to correctly mimic the observed complex reality

Abstract

Foreshocks in the form of microseismicity are among the most powerful tools to study the physical processes that occur before main earthquakes. However, their detection and precise characterization is still sparse, especially for small to moderate-sized earthquakes ($M_w < 6$). We present here a detailed foreshock analysis for the November 7, 2019, Balsorano (Italy) normal fault earthquake (M_w 4.4). To improve the detection of the microseismicity before and after the mainshock, we use six three-component broadband receivers at distances of less than 75 km from the targeted seismicity, through template matching. To improve the understanding of the physical mechanism(s) behind the earthquake initiation process, as well as other accompanying phenomena, we also detail the spatio-temporal evolution of the sequence associated to this medium-sized earthquake, using waveform clustering and hypocenter relocation. Clear differences between foreshocks and aftershocks are revealed by this analysis. Moreover, five distinct spatio-temporal patterns associated to the different seismic activities are revealed. The observed spatio-temporal behavior shown by the foreshocks highlights a complex initiation process, which apparently starts on an adjacent unmapped antithetic fault. Finally, the aftershock activity comprises four different clusters with distinct spatio-temporal patterns, which suggests that the different clusters in this sequence have distinct triggering mechanisms.

Introduction

The detection of signals that can inform us about a forthcoming earthquake is fundamental to build physical models that mimic the processes behind the triggering and nucleation of earthquakes. These physical models are important because they provide us the basis to characterize earthquakes. Therefore, the study and analysis of precursory signals are of great importance. Over the last 25 years, numerous studies have reported a wide range of observations that appear to be connected with the physics that precedes large seismic events

(*e.g.* Rikitake, 1975; Jones and Molnar, 1979; Molchanov et al., 1998; Eftaxias et al., 2000; Virk and Walia, 2001; Singh et al., 2010; De Santis et al., 2019; Jones, 1985; Abercrombie and Mori, 1996; Felzer et al., 2004; Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019; Reasenberg, 1999; Ruiz et al., 2017, 2014a). Among these, some of the most compelling are the ones based on seismological characterization of foreshock sequences, as well as other seismological observations and their relationships with mainshocks (*e.g.* Jones, 1985; Abercrombie and Mori, 1996; Reasenberg, 1999; Felzer et al., 2004; Dodge et al., 1996; Bouchon et al., 2011; Ruiz et al., 2014b, 2017; Ellsworth and Bulut, 2018; Yoon et al., 2019). Foreshocks are thus one of the most useful tools to understand the physics of earthquake initiation in real faults (Brune, 1979; Abercrombie and Mori, 1996; Malin et al., 2018). Therefore, it is important to improve foreshock observations and characterization, particularly for the more frequent small to moderate-sized events (*i.e.* $M_w < 6$), as these might share similar physical processes with larger events. These improved observations may shed light on the physical processes that occur during the triggering and nucleation of earthquakes and will drive future research that focuses on theoretical and numerical models to better characterize earthquake occurrence in real and complex faults.

Earthquake initiation (*e.g.* Kato et al., 2012; Schurr et al., 2014; Tramutoli et al., 2015) and earthquake nucleation/triggering (*e.g.* Dieterich, 1992; Ellsworth and Beroza, 1995; Rubin and Ampuero, 2005) are two different, and perhaps overlapping, phases of the seismic cycle. While the first is understood to occur over the longer term preceding a large event (*i.e.*, days or months, to years), the second occurs some minutes to seconds before the main event. Both phases, however, can be explained under the Dieterich model (1994), which relates the seismicity rate to the stressing history through a rate-and-state constitutive law. For earthquake initiation in particular for real faults, two main hypotheses are currently used to explain this process. Some authors argue that a mainshock is a consequence of a cascade process, with stress transfer in-between events, which eventually trigger the large event (*e.g.*, Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). Alternatively,

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the initiation of an earthquake can be understood as an aseismic process that weakens the pre-existing asperities, until a larger rupture is promoted (Dodge et al., 1996; Bouchon et al., 2011; Tape et al., 2018). In the latter case, foreshocks result from the activation of brittle asperities by the surrounding aseismic slip processes. However, intermediate models that involve both triggering and aseismic slip are likely for complex faults (*e.g.* McLaskey, 2019). This complexity might result from fault heterogeneity (*e.g.*, variable stress, frictional properties) and promote imbricated sequences of foreshocks and aseismic slip (*e.g.*, Dublanchet, 2018).

The monitoring of foreshocks is today routine in laboratory experiments (Zang et al., 1998; Goebel et al., 2012; Renard et al., 2019, and references therein), while studies that focus on large earthquakes remain relatively sparse (*i.e.*, $M_w > 6$) (*e.g.*, Mogi, 1963; Abercrombie and Mori, 1996; Kato et al., 2012; Chen and Shearer, 2013; Bouchon et al., 2013; Ruiz et al., 2014b). However, the recent improvements to seismological monitoring systems around active faults have now provided detailed analysis of foreshocks that precede the more frequent small to moderate-sized earthquakes ($M_w < 6$) (*e.g.*, Savage et al., 2017; McMahon et al., 2017; Malin et al., 2018). One intriguing feature that has emerged from these more recent studies is the increased complexity (*i.e.*, fault interactions, volumetric processes) that have been revealed through the availability of better data (*e.g.*, near-fault receivers) and more advanced detection methods (*e.g.*, template matching) to study foreshocks. This complexity might challenge the actual laboratory scale and theoretical models, which treat earthquake initiation as simple physical processes that occur in smooth fault planes (Dieterich, 1992; Marone, 1998; Rubin and Ampuero, 2005; Liu and Rice, 2005). The necessity for high-resolution characterization of foreshocks based on good data and advanced data processing techniques was also suggested by a meta-analysis carried out by Mignan (2014), which indicated resolution-dependent bias for earthquake initiation models that were resolved using seismological data.

To shed new light on the physical processes that occur before relatively small earth-

quakes, we study here the medium-sized (M_w 4.4) Balsorano normal fault earthquake and its foreshock-aftershock sequence (Fig. 1). The Italian National Institute of Geophysics and Volcanology (*Istituto Nazionale di Geofisica e Vulcanologia*; INGV; online catalog) reported that the main event of this sequence occurred on November 7, 2019 (17:35:21.18 UTC), approximately 4 km southeast of Balsorano city in central Italy (Fig. 1). The hypocenter of this main event was located relatively deep in the crust (14 km), close to the transition zone between the upper and lower crust (10-20 km in depth), where the brittle locked fault transitions into the ductile regime zone (Doglioni et al., 2011). Below this depth, the lower crust is relatively seismically silent (Doglioni et al., 2011). According to a geological study (Roberts and Michetti, 2004), the surface morphology presented by Falcucci et al. (2016) and Wedmore et al. (2017), together with the main event location and its focal mechanism (Supplementary Material Table S1), we assume that this event ruptured a segment of the Liri fault, which is one of the major active normal faults mapped in this region. This structure accommodates the low extension rate observed in this region (*i.e.*, a few millimeters per year) (Hunstad and England, 1999; Westaway, 1992; D'agostino et al., 2001; Roberts and Michetti, 2004). However, we recognize that this assumed geometry (based on the estimated focal mechanism) might be biased, and that the inclusion of body waves into the moment tensor solution (*e.g. Zhao and Helmberger, 1994; Zhu and Helmberger, 1996, CAP method)* should improve such estimation.

[Figure 1]

In addition to the mainshock of November 7, 2019, 135 events occurred close to the epicenter of the main event from October 22 to November 15, 2019 (which included 25 foreshocks). Starting from these cataloged events, we study here the 'anatomy' of the foreshocks and aftershocks, and their relationships with the main event. With this aim, continuous data from six three-component stations at less than 75 km from the mainshock epicenter are used (Fig. 1; Supplementary Materials Table S2). The continuous waveforms

recorded are analyzed using template matching techniques (Gibbons and Ringdal, 2006; Shelly et al., 2007) to detect smaller events and thus to expand upon the available seismic catalog. The detected events are then relocated using the double-difference method (Wald-hauser, 2001), to reveal the geometry of the main fault and to obtain new insights into the fault-slip behavior(s) before and after the main seismic event. Furthermore, through waveform clustering, we isolate families of earthquakes that are representative of different physical processes that occur in the pre- and post-mainshock period. This combination of detection, relocation, and waveform clustering reveals an imbricated seismic sequence where several faults were activated, and with clear differences in the spatio-temporal properties of the foreshocks and aftershocks.

Methods

Template matching: The analysis starts by extending the INGV seismic catalog using the template matching approach (Gibbons and Ringdal, 2006). From the 135 events reported by the INGV online catalog , where 25 events are identified as foreshocks, we retain only the events with available P-wave and S-wave picks for all of the six stations used. We then extract 4 s of signal, starting 1 s before the phase arrival time from the band-pass filtered data (5-20 Hz). Using the pre-picked signals, we estimate the signal-to-noise ratio and retain as templates only those events with a signal-to-noise ratio >3 at all of the stations. With this data selection, 23 events are obtained (including three foreshocks) that are the templates used for scanning the continuous data (Supplementary Materials Table S4). We use three-component data with P waves extracted from the vertical component, and S waves extracted from the East and North components.

In all, 28 days of continuous data are processed, from October 22 (*i.e.*, 16 days before the mainshock) to November 15, 2019, using the fast matched filter algorithm from Beaucé et al. (2017). The detection thresholds are set to 12 times the daily median absolute devi-

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ation of the summed correlation coefficients over the array of stations. Finally, consecutive detections with differential times of <3 s are removed (*i.e.*, the time difference between two estimated origin times).

The final catalog contains 714 events (166 foreshocks, 547 aftershocks), which represents $\sim$ 6-fold the number of events in the initial catalog. To estimate the magnitudes of the newly detected events, we use the average root mean square in the time window containing the S waves over all of the stations and components. Least-square fitting is then used to obtain a linear model that relates the logarithmic of the root mean square of the 23 templates and their local magnitudes from the INGV catalog. This model is then used to estimate the magnitude of the newly detected events. A summary of the event occurrences in time together with their magnitudes is shown in Figure 2.

[Figure 2]

Waveform-based clustering: Clustering is widely used in seismology to recognize patterns in spatio-temporal events, which include the identification of foreshock-aftershock sequences and stress evolution in time (*e.g.*, Kagan and Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018). Here, we apply a hierarchical clustering analysis (Ward Jr, 1963) to define groups of events inside the earthquake sequence. The dissimilarity between the waveforms of the events in the sequence is used as the distance metric for this clustering analysis. For this analysis, we estimate the dissimilarity (D) between two events, i and j, as $D_{i,j} = 1 - C_{i,j}$ being C_{ij} the correlation coefficient associated to that pair of events. For this, the full normalized waveforms are used to calculate the correlation coefficient, with a 4.5-s time window (starting 0.5 s before the P-wave arrival) that contains both the P phase and the S phase. Under these assumptions, it is important to stress out that the events composing a defined group by the hierarchical clustering analysis do not necessarily share similar locations and/or a common rupture mechanism.

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The waveforms of the 714 detected events recorded at the closest station to the epicenter (Fig. 1, VVLD) are then correlated with each other. The correlation matrix obtained (Fig. 3a) is used to estimate the distance (dissimilarity) metric to perform hierarchical clustering. The Ward minimum variance method is used (Ward Jr, 1963) with a distance threshold of 5.5 defined (Supplementary Materials Fig. S1: the largest separation observed from the dendrogram). This hierarchical clustering analysis highlights five different groups (clusters), as shown in Figure 3b, c. As both the P waves and S waves are used for clustering, the resulting family members might share, but not necessarily, similarities in position and rupture mechanism (Kagan and Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018; Cattaneo et al., 1999).

Relocation: We finally estimate the relative location between the detected events using the double-difference algorithm (HypoDD software; Waldhauser (2001)). The differential times of the P phases and S phases between events from the cross-correlation are estimated, with the retention of only the delays that are associated to correlation coefficients >0.6. We further limit the delays to 0.2 s. After discarding the event pairs that relate less than 3 P-wave and 3 S-wave highly correlated differential times (correlation coefficient, ≥ 0.6), the final number of 29859 pairs are kept and used in the relocation process.

For each newly detected event, we assume its initial location as the coordinates of the template that reports the highest correlation coefficient related to that event. In addition, we assume the estimated P-wave and S-wave picks obtained from our template matching analysis as the initial catalog information for the relocation. A velocity model for this region proposed by Bagh et al. (2007) is used in the relocation process (Supplementary Materials Table S3). Following previous studies (Shelly and Hardebeck, 2019), the inversion is performed with stronger weights to the initial information related to the P-wave and S-wave picks from the catalog (*i.e.*, from the template matching analysis), while the differential times from the waveform correlations control the final iterations. In the end, 689 of the 714 newly detected events are successfully relocated. The temporal and geometric patterns

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observed in this earthquake sequence are illustrated in Figures 4 and 5, and are further described in the following section.

[Figure 3]

Results and discussion

The time evolution of the detected events is shown in Figure 2. Of the 714 events, 166 are foreshocks (23%). Together with the temporal evolution, Figure 2a shows the spectrogram and the average spectral energy in a frequency band from 5 Hz to 20 Hz. The oscillation of this energy suggests variable noise levels in the study area, with lower noise during the night (Figure 2, shaded areas, for periods from 18:00 to 06:00). This noise variation is related to anthropogenic activity (Poli et al., 2020b), and it is also observed for the other five receivers. This noise evolution will probably affect our detection performance. For example, it is not clear if the reduced number of events observed prior to the mainshock is real or is a consequence of the higher noise level (Fig. 2b). We thus avoid discussing any issue related to pre-seismic quiescence here. However, with the geometric and clustering information derived above, we can still characterize some of the properties of the newly detected foreshocks and aftershocks, and gain insight into the physical processes that occur at the different stages of the sequence.

The results from the combination of waveform clustering and relocation strategies are summarized in Figures 4 and 5. For each cluster, the coefficient of variation (COV) is also estimated from the recurrence time of the events (Kagan and Jackson, 1991; Schoenball and Ellsworth, 2017). The COV indicates the level of the temporal clustering within each group (*i.e.*, how much the occurrence of future earthquakes depends on the occurrence of the past earthquakes): with COV=1 for random seismicity, and COV>1 for strong temporal clustering. The larger the COV, the more the earthquakes are interacting. Thus, it is

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important to note that events that happen together with a high COV mean that there is an intrinsically related interaction between them.

The temporal and spatial densities of the different clusters identified in this sequence are illustrated in Figure 4, where cluster 1 (green solid lines and dots) is mainly composed of foreshocks (161 of 209 events occurred before the mainshock). The events that form this family show the highest waveform similarity (Fig. 3a). In agreement with this waveform property, cluster 1 has high spatial density, with approximately 90% of its activity (193 of the 208 events) located within 0.5 km of the mainshock hypocenter (Figs. 4a and 5a). Cluster 1 also shows the highest temporal clustering (COV=4.8; Fig. 4a).

The next two families, as cluster 2 (COV=3.0; Figure 4b, blue solid lines and dots) and cluster 3 (COV=2.9; Figure 4c, magenta solid lines and dots), share similar temporal clustering values, but show differences with respect to their spatial densities. While approximately 90% of the events of cluster 2 are within 0.8 km of the hypocenter (136 of 151 events; Fig. 4b), cluster 3 has almost 90% of its activity (187 of 211 events) located over a larger volume, as approximately 1.2 km from the mainshock location (Fig. 4c). Cluster 4 (Figure 4d, brown solid lines and dots) is characterized by 90% of its activity within 0.6 km of the mainshock hypocenter (53 of 59 shocks; Fig. 4d). The seismicity in this cluster is also characterized by high temporal clustering (COV=4.2). Cluster 5 (COV=2.2; Figure 4e, red solid lines and dots) is the least temporally clustered, but with the second highest spatial density (after cluster 1), with 90% of its activity in a region 0.5 km from the mainshock hypocenter (66 of 73 events; Fig. 4e). A general spatial pattern of this sequence is the concentration of events close to the mainshock that occurred prior to it (110 foreshocks within 0.3 km) and the subsequent spread over a region >0.3 km during the aftershocks.

Figure 5 illustrates the geometric patterns related to each of the clusters, as defined by the relocation process. A remarkable pattern can be seen in Figure 5a: cluster 1 (*i.e.*, foreshocks) shows an antithetical orientation with respect to the assumed fault plane of the main event (Fig. 5a, map view and cross sections). In contrast, clusters 4 and 5 show

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nearly parallel orientations with respect to the assumed main fault plane (Fig. 5d, e, cross-sections, respectively). We also observe particular behavior for cluster 5, which is the only cluster where the activity is exclusively to the northeast of the mainshock hypocenter and on the footwall (Fig. 5e, map view and cross-sections). The events in cluster 5 follow an orientation that is parallel to the assumed main fault plane dipping angle (Fig. 5e, cross-section). In turn, cluster 3 has an activity that follows the orientation of the fault plane, but that spreads across the whole volume surrounding the fault plane (Fig. 5c, cross-sections).

[Figure 4]

[Figure 5]

The results of the spatio-temporal evolution for the identified clusters suggest complex evolution of the seismicity. Two fault planes are activated during the sequence, with foreshocks primarily occurring on the antithetic fault plane (Fig. 5a, cross-section), similarly to part of the foreshock activity that was observed for the L'Aquila normal fault earthquake (Chiaraluce et al., 2011). Relying only on our observations, it is hard to unravel which mechanism(s) might be responsible for the occurrence of the foreshocks, and thus the driving of the main event. For example, there are no exponential or power-law increments of events seen while approaching the main event (Papazachos, 1975; Kagan and Knopoff, 1978), which might suggest accelerating aseismic slip (Dodge et al., 1996; Bouchon et al., 2011; Tape et al., 2018). Neither are any spatial patterns seen (*e.g.*, migrations) that might suggest the same mechanism, or might alternatively indicate triggering by stress transfer (Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). However, we clearly outline the differences between the foreshocks and aftershocks. In particular, the foreshocks occur in a more temporal clustered manner, and they are closer to the hypocenter of the main event (Fig. 4a). The compact and highly temporal clustered seismicity indicates strong event interactions, and favors stress transfer as the mechanism for foreshock occurrence (COV, Schoenball and Ellsworth, 2017).

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In order to investigate if aseismic slip triggered, to some extent, the mainshock, we search for seismological evidences such as the existence of repeating earthquakes in the foreshock sequence (Uchida, 2019). The resulting waveform-based correlation matrix (Fig. 3a) shows 118 pairs with correlation coefficients larger than 0.95 (61 pairs of foreshocks and 57 pairs of aftershocks). Regarding the estimated relocation of those highly correlated foreshock waveform pairs, 44 out of the 61 pairs (72%) show a practically overlapping location (relative distances < 20 m) considering the uncertainty of the relocation (approximately 20 m). However, the significantly low magnitudes (123 out of 166 foreshocks have magnitudes smaller than 0.5) and the limited frequency range used in our analysis (5-20 Hz) do not allow us to properly conclude about the existence of repeaters in this sequence (Uchida, 2019; Uchida and Bürgmann, 2019).

Interestingly, the aftershock clusters also show different spatio-temporal behaviors between each other (Figs. 5b-e, 4b-e). The observed differences might be explained by different physical processes driving the aftershock occurrence. For example, clusters 2 and 3 (Fig. 5b, c) spread in a wide volume around the fault in contrast to the other clusters. This spatial pattern is likely to result from stress redistribution, volumetric damage, and relaxation processes after the mainshock (Trugman et al., 2020). In particular, the spatio-temporal evolution of the zone containing cluster 3 expands away from the hypocenter with the logarithm of time (Fig. S6, Supplementary Material). The spatial expansion of the active zone of cluster 3 is also evidenced by the relative small amplitude of the stacked waveform estimated for cluster 3 (Fig. 3c). This feature from cluster 3 might suggest afterslip as its driving mechanism (Ross et al., 2017). Such observation might support the alternative model proposed by Inbal et al. (2017), where the afterslip from the mainshock might be the triggering mechanism of the aftershocks off of the main fault. Clusters 4 and 5 in turn are localized in a more compact volume around the assumed fault plane (Fig. 5d, e). This behavior suggests that the activity from these clusters result from a localized stress increment close to the fault plane.

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We also search for evidences of repeating earthquakes in the aftershock sequence. Such repeaters may suggest the existence of co-planar afterslip (Nadeau and McEvilly, 1999; Igarashi, 2010; Igarashi et al., 2003). Out of the 57 pairs of highly correlated aftershocks (from the correlation coefficient matrix), 28 show an estimated overlapping location (relative distances < 20 m). However, as mentioned above, the limited frequency range used and the estimated small magnitudes of the newly detected events do not allow us to conclude if co-planar afterslip might be the triggering mechanism behind some of these aftershocks.

As in previous studies (McMahon et al., 2017; Savage et al., 2017; McMahon et al., 2019), we can see that this detailed analysis of seismic data reveals a complex and imbricated earthquake sequence, for which the mainshock initiation is unlikely to result from only the evolution of physical properties (*e.g.*, stress, friction) on the main fault plane. Indeed the sequence begins through an interaction between the antithetic and main faults during the foreshock-mainshock sequences, similar to that observed for other events (Chiaraluce et al., 2011; McMahon et al., 2019). In normal faults, this behavior can be related to preseismic processes in the dilation wedge located in the hanging wall (Doglioni et al., 2011). The complexity of the sequence might also emerge from fluid involvement, which is known to have a significant role in the control of seismicity and its 'style' in the central Apennines (Antonioli et al., 2005; Poli et al., 2020a). The stress perturbations in the antithetic fault might have modified the local pore pressures, with fluid migration into the main fault, which would favor the occurrence of the main event (Doglioni et al., 2011).

Conclusion

By using a combination of high-resolution detection methods, precise relocation (*e.g.*, Gibbons and Ringdal, 2006; Waldhauser, 2001) and waveform clustering, we have unveiled the complexity of the sequences associated with the 2019 (M_w 4.4) Balsorano earthquake. We detect 714 events that comprise this sequence. These events are classified into five

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different seismic clusters. The differences between these clusters are highlighted by their distinct spatio-temporal properties that are unveiled by the waveform-based clustering analysis (Kagan and Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018), and by their relative source locations (Waldhauser, 2001).

Our results highlight different behaviors between foreshocks and aftershocks. For example, foreshocks occur in a compact region near the mainshock hypocenter, and show high temporal clustering (Fig. 4a). As mentioned before, no conclusive evidence of repeating earthquakes in the foresock sequence could be obtained with the data at hand. In addition, strong temporal clustering and inter-event proximity between foreshocks is observed, which might indicate that stress transfer triggering has the main role in driving the occurrence of the foreshocks (Dodge et al., 1996). Nevertheless, there are no observations that can exclude aseismic slip. The foreshock activity mainly take place in an antithetic fault (Fig. 5a), which suggests that the initiation processes do not only occur on one fault plane, but involve larger volumes (Savage et al., 2017). This precursory antithetic activation has been observed in other normal fault events (Chiaraluce et al., 2011) and it can be expected in some gravity-driven normal fault models (Doglioni et al., 2011).

Furthermore, our analysis shows diversity for the aftershocks behavior. Indeed, four different clusters comprise the aftershock sequences. Cluster 2 is spread in a volume around the main fault (Fig. 5b), and might result from stress redistribution after the mainshock (*e.g.*, caused by volumetric damage and the relaxation processes; Trugman et al. (2020)). Cluster 3 exhibits a logarithmic spatial expansion (Fig. S6, Supplementary Material), which suggests afterslip as its driving mechanism (Ross et al., 2017). According to the rapid temporal decay of their activity, their compactness and spatial orientation, clusters 4 and 5 appear to be driven by rapid stress increments induced by the mainshock and afterslip that occur near the fault plane in the few days after the mainshock (Stein and Lisowski, 1983; Shen et al., 1994).

In summary, this study of foreshocks and aftershocks highlights that simple preparation

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360 models with evolution of stress and friction on a single fault plane are not suited to precisely

361 explain the evolution of the seismicity we observe here for a real fault. A relatively large

362 volume appears to be involved in the earthquake initiation, over a short time scale (∼1 day).

363 We further highlight how the full range of aftershocks is likely to be an ensemble average

364 view of different processes, which will include afterslip, volumetric damage, and relaxation.

365 Continuing to provide detailed information about foreshocks and their relationships to the

366 mainshock and aftershocks also for relatively small events can help us to develop new and

367 more realistic models that can provide better fitting of seismological observations and shed

368 new light on the initiation of earthquakes in real faults.

Data and resources

370 The continuous seismic data used in this study are available at the Istituto Nazionale di Ge-

371 ofisica e Vulcanologia (INGV) seismological data center (http://cnt.rm.ingv.it/webservices_and_software/;

372 last accessed, March 2020) and were downloaded using obspyDMT (https://github.com/kasra-

373 hosseini/obspyDMT, Hosseini and Sigloch (2017)). The fast matched filter (Beaucé et al.,

374 2017) used in this study can be found at https://github.com/beridel/fast_matched_filter.

375 Some plots were made using the Generic Mapping Tools version 6.0 and PyGMT (https://www.pygmt.org/l

376 Wessel et al. (2019)). The event clustering was performed using Scikit-learn (https://scikit-

377 learn.org/stable/; Pedregosa et al. (2011)). Supplemental Material for this article includes

378 a PDF file containing five tables and seven figures expanding the information presented in

379 this manuscript as well as MAT file with the whole earthquake catalog obtained from this

380 study.

Acknowledgments

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Figure Captions

Figure 1. Regional map of the study area. The yellow square inside the small map inset on the left corresponds to the central region of Italy represented in the larger topographic map. The small map inset on the right represents magnification of the black dashed area around the epicentral location (red star). The color code used in the map view on the right represents the estimated depth of the foreshock and aftershock activity (estimated in this study: 714 events). The yellow circle represents Balsorano city, and the white triangles represent the stations used in this study. The dashed lines in the right inset map represent the directions A-A' (along strike) and B-B' (normal to the strike) illustrated in the cross sections of Figure 5. The solid red line represents the superficial scarp of the Liri fault (scarp taken from Wedmore et al. (2017)).

Figure 2. (a) Spectrogram on VVLD.HHZ. The white line is the median of the energy in the frequency band between 5 Hz and 20 Hz calculated within a 1-h sliding window. Notice the diurnal energy variation. (b) Blue, cumulative events for the same time period of the experiment; orange, recurrence time for the newly detected events. (c) Estimated magnitudes for the newly detected events. For illustration purposes, the estimated lowest magnitude shown in (c) is 0. A gap in the continuous data at this receiver location is seen for the night of November 8 to 9, 2019. In all panels, night periods (18:00 to 6:00) are represented by shaded regions.

Figure 3. Illustration of the waveform-based hierarchical clustering output. (a) Pairwise correlation coefficients between the waveforms for the vertical component of station VVLD (Fig. 1) of the 714 detected events. This matrix is used to perform the hierarchical clustering. (b) Cumulative events combined with the results from the hierarchical clustering,

according to the color code in the legend. (c) Characteristic normalized waveforms (vertical component) of the five different clusters revealed in the earthquake sequence. These traces are obtained after stacking all of the individually normalized waveforms belonging to each cluster.

Figure 4. Spatio-temporal evolution of the earthquake sequences with respect to the mainshock origin time and hypocenter. Left column: Temporal density (number of events per hour). The coefficients of variation (COV) from the recurrence times are indicated for each cluster. Center column: Distance in time and space from each event of the sequence with respect to the mainshock location and origin time. The dashed gray line on the left and center column represents the mainshock origin time. Right column: Spatial density (concentration of events per 0.1 km). Dashed black line, where 90% of the seismic activity is concentrated. (a)-(e) Each of the five clusters progressively ordered. The same color code from Figure 3 is used.

Figure 5. Map view (left column), and cross-sections along the strike (middle column) and normal-strike (right column) directions for each of the five clusters identified in the sequence (as indicated). All of the locations are relative to the mainshock hypocenter (41.7746^{o}N 13.6066^{o}E; 13.94 km depth, black star). In all of the panels, the same color code is used as in Figures 3 and 4 to represent each different cluster. The solid black line represents a fault plane of 1 km^2 with the geometry of the second nodal plane (Supplementary Materials Table S1). The directions A-A' (along strike) and B-B' (normal to the strike) are the same as in Figure 1. Each cluster is represented by a corresponding label a) Cluster 1 , b) Cluster 2, c) Cluster 3, d) Cluster 4 and e) Cluster 5. In each panel, the black circles represent the location of the templates belonging to each cluster.
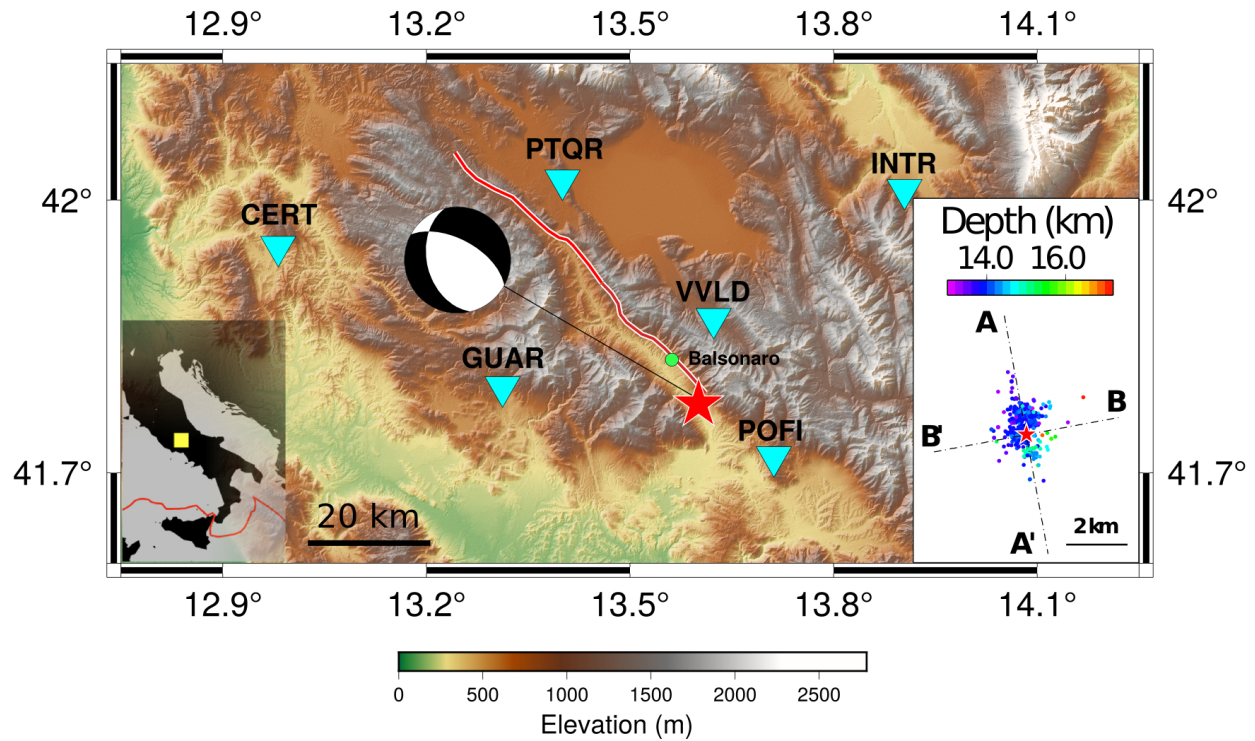
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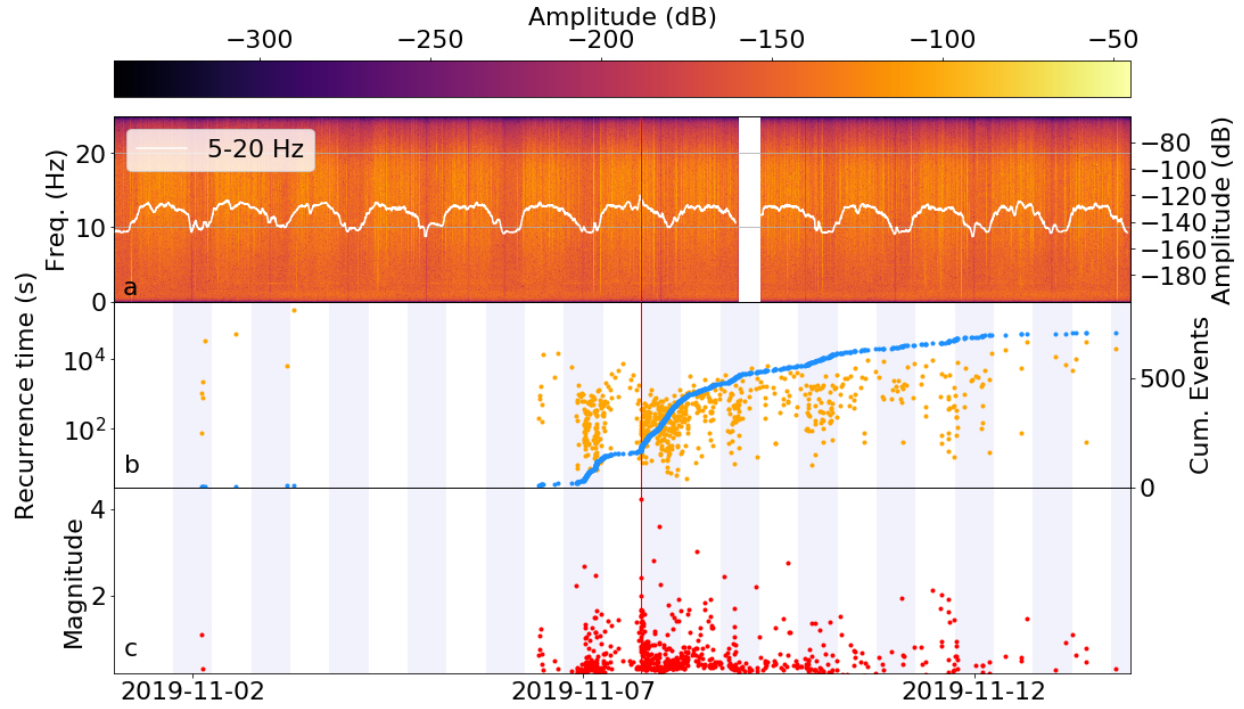
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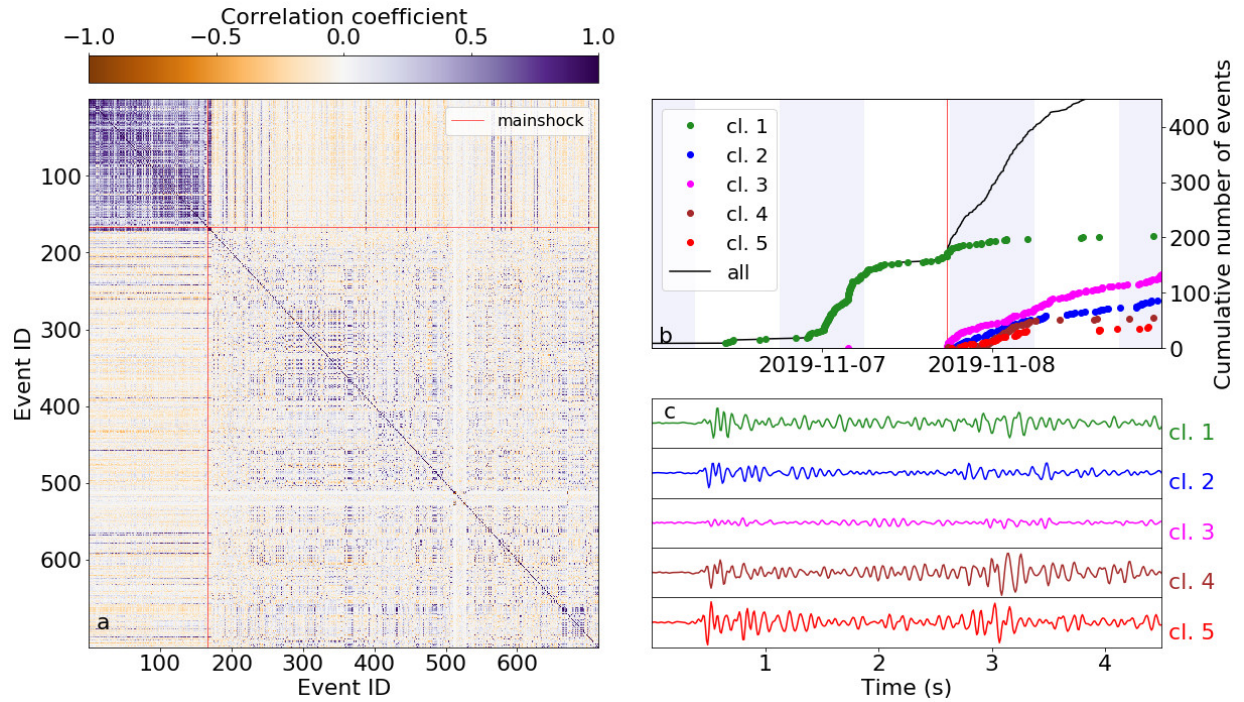
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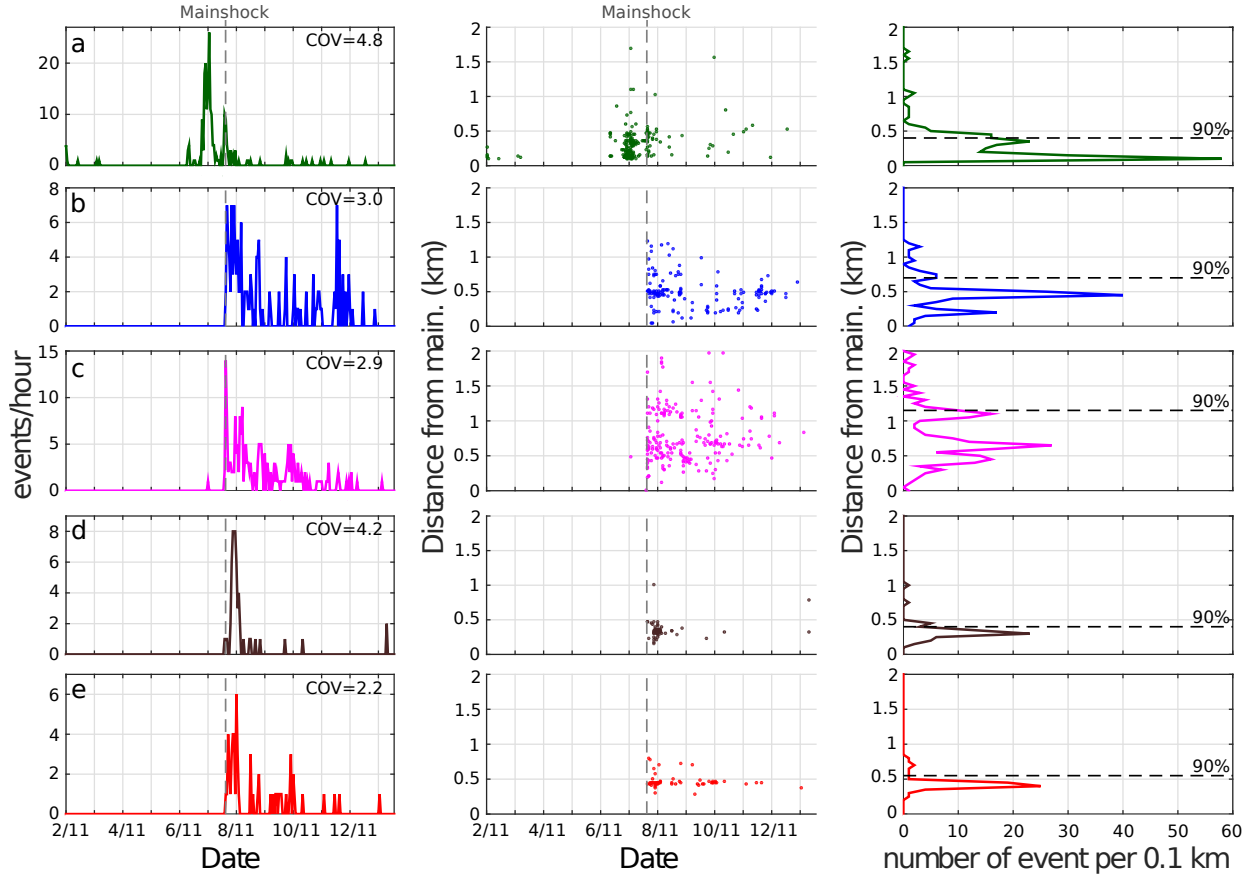
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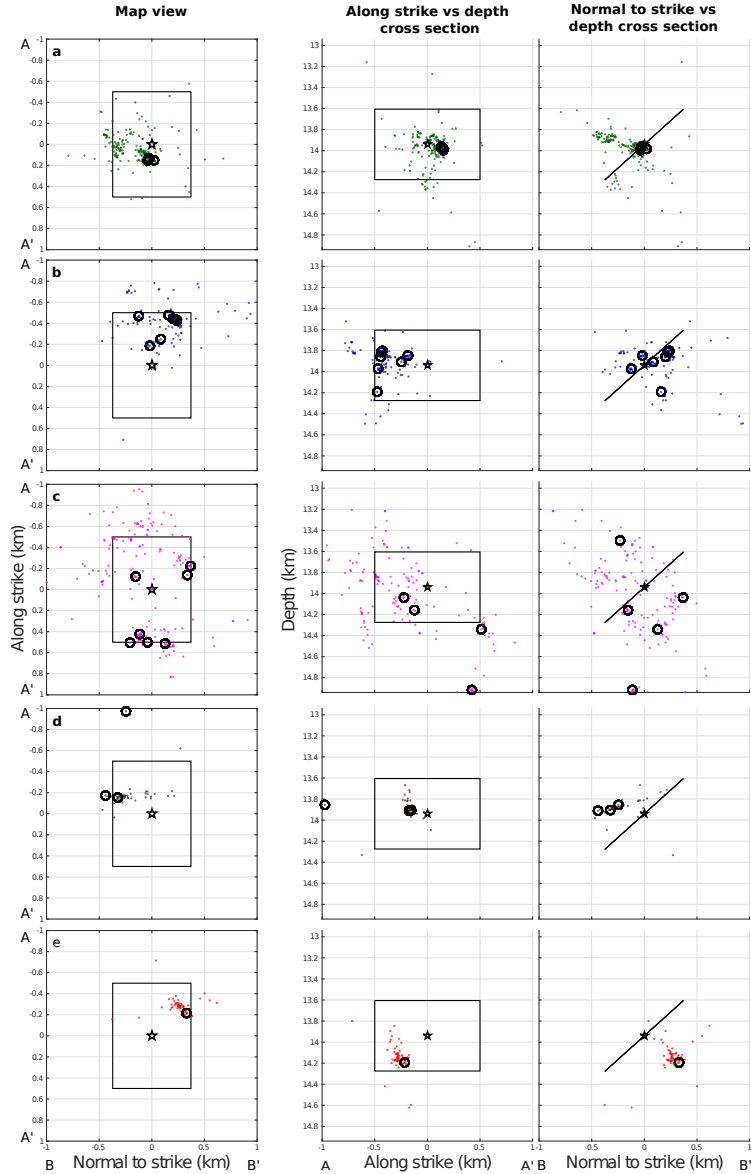
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