

Humming trains in seismology: an opportune source for probing the shallow crust

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19 Abstract

20 Seismologists are eagerly seeking new and preferably low-cost ways to map and track changes in the complex structure of the top few kilometers of the crust. By understanding it better they can build on 21 22 what is known regarding important, practical issues. These include telling us whether imminent 23 earthquakes and volcanic eruptions are generating tell-tale underground signs of hazard, about 24 mitigation of induced seismicity such as from deep injection of waste water, how the Earth and its 25 atmosphere couple, and where accessible natural resources are. Passive seismic imaging usually relies 26 on blind correlations within extended recordings of Earth's ceaseless "hum" or coda of well-mixed, 27 small vibrations. In this paper we are proposing a complementary approach. It is seismic interferometry using opportune sources - specifically ones not stationary in time and moving in a 28 29 well-understood configuration. Its interpretation relies on accurate understanding how these sources 30 radiate seismic waves, on precise timing, on careful placement of pairs of listening stations, and on 31 seismic phase differentiation (surface and body waves). Massive freight trains were only recently 32 recognized as just such persistent, powerful cultural (human activity-caused) seismic sources. One 33 train passage may generate tremor with an energy output of a magnitude 1 earthquake and be 34 detectable for up to 100 km from the track. We discuss source mechanisms of train tremor and review 35 basic theory on seismic interferometry with opportune sources. Finally, we present case studies of 36 body- and surface-wave retrieval as an aid to mineral exploration in Canada and to monitoring of a 37 Southern California fault zone. We believe noise recovery from this new signal source, together with 38 dense data acquisition technologies such as nodes or Distributed Acoustic Sensing, will deeply transform our ability to monitor activity in the shallow crust at sharpened resolution in time and 39 40 space.

41 **1 Introduction**

42 Vehicle traffic was long seen mainly as a pervasive source of nuisance noise that degrades seismic records (Douze and Laster, 1979). But the recent and intriguing discovery of tremor from trains 43 44 startled seismologists. Studies soon followed on detection and characterization of these signals (Riahi 45 and Gerstoft, 2015; Li et al., 2018; Green et al., 2017; Fuchs et al., 2018; Inbal et al., 2018) as well as source modeling (Lavoué et al., 2020). Earlier studies Nakata et al. (2011); Quiros et al. (2016); Chang 46 47 et al. (2016) proposed using traffic noise and seismic interferometry for both body- and surface-wave imaging. These studies were, however, limited to highly local sources of background cultural noise 48 49 and near-surface applications. In a fortuitous attempt to gather non-volcanic tremors (NVT) along the San Andreas Fault 50 51 Zone in Southern California, Inbal et al. (2018) discovered extended tremor sequences that shared 52 puzzling similarities with NVTs. But they traced the new discovery to massive freight trains running 53 along the nearby Coachella Valley. They could detect them as much as 100 km from the rails. 54 <u>Brenguier et al. (2019)</u> calculated that a single 1-km-long freight train rolling through a 10-km-long 55 railway section radiates energy equivalent to a magnitude 1 earthquake. By further using seismic 56 interferometry for correlation of this underfoot train noise Brenguier et al. (2019); Dales et al. (2020) 57 showed it possible to extract useful information on the Earth's crustal structure and temporal changes 58 down several kilometers and that provides a potential alternative to costly monitoring of active 59 sources such as hydraulic thumping or explosives (Tsuji et al., 2018). 60 This paper reviews basic concepts and examples of the application of seismic interferometry to

61 train tremors. Its special focus is on long-range body-wave retrieval for crustal exploration and 62 monitoring (Fig. <u>1</u>). The Green's function is the elastic impulse response of the ground between a 63 seismic source and a seismic receiver, i.e., the signature of the ground structure encapsulated by its

64 effects on the velocity and other behavior of a signal as it traveled. Seismic interferometry is often

65 able, by correlating diffuse coda or seismic noise, to retrieve the Green's function between two seismic 66 sensors by turning one sensor into a virtual source. The impact of Green's function retrieval in recent 67 decades is revolutionary (e.g., Campillo and Paul, 2003; Shapiro et al., 2005; Snieder, 2004; 68 Wapenaar, 2004). It spurred publication of at least 2000 seismology papers in the last 15 years. One 69 payoff from seismic interferometry and Green's function retrieval is improved crustal imaging 70 through correlation of pervasive surface wave noise generated in the oceans in the period range from 71 1 to 20 seconds. Recent studies have also unveiled the possibility of reconstructing body-waves at 72 global (e.g., Poli et al., 2012; Boué et al., 2013) and local scales (Draganov et al., 2009; Nakata et al., 73 2015; Olivier et al., 2015; Nakata et al., 2016). 74 A perfect application of Green's function retrieval and seismic interferometry requires 75 correlation of either a fully diffused seismic wavefield or noise signals generated from all around the 76 studied region, including at depth (Wapenaar, 2004). In practice these demands are never met. 77 Seismologists must live with or find work-arounds for partial reconstructions and potentially biased 78 wave travel times (Snieder et al., 2006; King and Curtis, 2012). Moving trains are welcome, opportune 79 sources of noise on well-mapped railways. It is essential that they be rigorously assessed for seismic 80 interferometry. Train traffic noise cannot be blindly correlated without considering the effects of 81 irregular source distribution on body-wave retrieval.

In this paper we first describe typical train noise signals, discuss recent models of mechanisms that create train seismic radiations, and provide a map of the predicted extent of useful train noise in the contiguous US. Second, we propose a methodological framework focusing our approach on the stationary zones (geographical area where we observe constructive interferences when crosscorrelating signals between two stations, Snieder, 2004) and propose a signal processing strategy for applying seismic interferometry to train noise with focus on long-range body-wave retrieval. We finally review two recent case studies regarding mineral exploration in Canada and tectonic fault 89 monitoring in Southern California.

91 **2** The sound of trains in the Earth

92 As noted, massive freight trains generate seismic waveforms with striking similarity to episodic 93 tectonic tremors. These may be from such events as slow-slip fault motion (Fig. 2 top). As Inbal et al. 94 (2018) report, the identity of the sources as manmade was not obvious because freight train traffic 95 often lacks cultural diurnal or weekly modulation, and typical train speed (25 m/s or 90km/h) is also 96 in the range of reported tectonic tremor migration velocity at depth. Train hum has however a distinct 97 signature with clear spectral lines above 1 Hz (Fuchs et al., 2018) illustrated in Fig. 2 for a train signal 98 recorded in Canada about 3 km from the railway (first case study presented below, see Section 5). 99 The engineering community has studied train-induced ground vibrations thoroughly to damp 100 them and mitigate potential hazards. Several source mechanisms are under study (e.g. <u>Connolly et al.</u>, 101 2015) including quasi-static excitation due to axle loads, and dynamic interactions among trains, 102 tracks, and ground. In a recent study, Lavoué et al. (2020) showed that excitation due to axle loads is 103 the main mechanism producing the spectral characteristics of seismic signals at intermediate to long distances from the railway (from hundreds of meters to tens of kilometers, Fuchs et al., 2018; Inbal et 104 105 al., 2018; Li et al., 2018; Brenguier et al., 2019). One may then model train-generated seismic signals 106 by considering only the vertical forces due to loading applied by axles on the railroad ties (commonly 107 called sleepers) along the railway (Krylov and Ferguson, 1994; Lavoué et al., 2020). 108 Lavoué et al. (2020) conclude that the spectral lines arise from complex interactions of

periodic loads through the regularly spaced wheels on the even more evenly separated sleepers. The frequencies of these spectral lines depend on train geometry (i.e. train car length and wheel spacing within each car), spacing between sleepers, and train velocity. We provide an open-source code to assess the frequency response of a specific train (see link in Data and Resources). With most trains, the dominant spectral lines are expected in the 1 to 20 Hz range, which is ideal both for highfrequency surface wave tomography of the near subsurface and for crustal body-wave imaging and

115 monitoring (wavelengths not too large and scattering not too strong, <u>Brenguier et al., 2019).</u>

116 Our ability to predict the long-range, body-wave Peak Ground Velocity (PGV) of a moving train 117 tremor – the physical motion in the medium as signals go through it - is crucial to image formations 118 and monitoring any changes with seismic interferometry. <u>Lavoué et al. (2020)</u> propose that train 119 tremor PGV is directly proportional to the wagon weight for a given train length, and is a square-root 120 function of train length for constant wagon weight. Faster trains also generate higher PGVs. Moreover, 121 the ground stiffness beneath railways controls high-frequency content and amplitude of excitation 122 (trains traveling across rock or stiff soil generate higher-frequency and higher- amplitude signals). 123 This ground stiffness parameter may also reflect a coupling between the rail track and the ground. 124 While maximum detection distance may be limited (a few kilometers) in sedimentary basins due to 125 attenuation and weak excitation but, again, it can reach almost 100 km on a hard-rock substratum. In 126 southern California, for instance, Inbal et al. (2018) observed a freight train tremor signal from as far 127 as 90 km from the railway. At 45 km from the railway, they estimated a PGV of about $6 \times 10-8$ m/s. 128 By applying a simple correction for intrinsic attenuation and geometrical spreading for body (P) 129 waves, we now estimate that the level of PGV for a specific Coachella Valley train would be of the 130 order of $5 \times 10-7$ m/s at 10 km and $5 \times 10-6$ m/s at 1 km. These values are quite low. Even high-131 sensitivity seismometers may record such train signals at long distances only in quiet environments. 132 Nevertheless, <u>Brenguier et al. (2019)</u> demonstrated that Coachella Valley train noise supported 133 seismic body-wave interferometry - with data recorded by an array of geophones (nodes) - as much 134 as 60 km from the railway (see Section <u>6</u>).

At shorter distances small-amplitude body waves might be barely visible in the raw data, either because surface waves hide them or because they are below the ambient noise level. But it should be possible to extract body waves from the correlations of train tremors by stacking data from several passages (see Section 5). Using train tremors for seismic interferometry thus depends both on detection limits (instrument sensitivity and local noise level) and on reliably recognizable features intrain signals.

Observation from previous studies (Inbal et al., 2018; Brenguier et al 2019) persuade us that 141 142 50 km is a typical maximum distance range for detecting tremors generated from large North 143 American freight trains. That led us to look into the spatial extent of detectable train tremor in the 144 entire contiguous US plus southern Canada (Fig. <u>3</u>). The map displays the main freight railway routes. 145 The swathes in colors represent high tonnage routes. Their width (100 km) is a rough guide to 146 potential long-range train tremor detection scope. This map does not take into account the reduced 147 detectability of signals in urban areas due to intense local noise and in sedimentary basins with strong 148 attenuation compared to the Southern California-Coachella Valley reference.

Noteworthy is that the Coachella Valley, a stretch of Sonoran Desert northwest of the Salton
Sea, is a singularly apt place to find practical uses for ground vibrations of massive trains. Its Union
Pacific RR tracks are a prime corridor to and from the ports of Los Angeles and Long Beach, the
Western Hemisphere's busiest seaport complex. Dozens of trains go through daily. The average length
is more than 2.5 km with more than 100 cars, often including multiple engines front and back. Rail
enthusiasts visit to make, and often to post on YouTube, mesmerizing videos of the immense steel
caravans rumbling by (see Data and Resources).

Annual freight tonnage (Fig. 3) is a proxy for the number of trains travelling on rail sections. Assuming an average train length of 2 km and a weight of 15 kilotons (according to statistics derived from the public waybill samples, 20181), a tonnage of 100 MT/year corresponds to about 18 trains per day. The number of trains per day will affect ability to stack the reconstructed body waves from seismic interferometry. It also affects the temporal resolution needed in monitoring applications (see Section <u>6</u>). This map highlights the potential of using trains as sources of opportunity. Potential application may be in Cascade volcanoes, the Southern California's San Andreas Fault system, induced

163 seismicity (e.g. Oklahoma), and resource exploration and monitoring (minerals, water).

164

165 <u>1https://prod.stb.gov/wp-content/uploads/PublicUseWaybillSample2018.zip</u>

166

167 **3 Seismic interferometry with opportune sources**

168 Seismic interferometry is a general term embracing all methodologies aiming to infer seismic

169 responses from the correlation of seismic signals observed at multiple receiver locations (e.g.,

170 <u>Wapenaar et al., 2010a,b</u>). To turn sensors into virtual sources, this concept has been refined in

171 seismology and seismic exploration, mostly in the last 20 years, based on the pioneering studies of

172 random fields or vertical planar wave autocorrelation (Aki, 1957; Claerbout, 1968) and the time-

173 reversal principle in acoustics (Fink, 1997).

174 To retrieve a Green's function using the correlation or an equivalent operator the theory heavily relies on either a stationary phase condition (e.g., <u>Snieder, 2004; Roux et al., 2005)</u> and/or an 175 176 equipartition of modes defining a diffuse field (e.g., <u>Sánchez-Sesma and Campillo, 2006).</u> The 177 stationary phase condition implies that the correlation function's convergence towards the Green 178 function requires the presence of sources (or scatterers) in line with two carefully placed receivers. In 179 a 2D homogeneous medium, these stationary points define a hyperbolic area, outward from the 180 receiver pair, with an aperture that is frequency dependent (the lower the frequency, the broader the 181 calculated source region). Also known as Fresnel zones, these "kernels" are clues to the reliability of 182 the correlation's implied source locations. In 3D and for both surface and body wave retrieval, the 183 requirement of equipartition remains. Full Green function retrieval demands sources evenly 184 distributed, along an arbitrarily shaped surface enclosing the two sensors (e.g., Wapenaar, 2004; 185 Wapenaar and Fokkema, 2006). However, even with a clearly dominant distribution of sources at the 186 free surface, several studies confirmed the feasibility of retrieving body waves (e.g., Draganov et al.,

187 <u>2009</u>, <u>2013</u>, and even explicitly using traffic noise (Nakata et al., 2011).

Each of the possible phases (or wave types) included in the Green's function has its own source sensitivity. The main contributors to a particular phase are sources within its stationary phase area. We can therefore measure a specific phase between two receivers by correlation of a source within its stationary phase zone including the surface. The following case studies investigated P waves from moving trains, and emerging from the interference between a direct P recorded at the first station, and a PP (redirected once by a buried layer or formation edge) recorded by a second station after a rebound below the first one. One can do the same with S waves, Fig. <u>4b</u>).

195 Useful interference occurs if the seismic sources (trains) satisfy the stationary phase criterion:

196
$$\Delta t = t_{pp} - t_p \le t_{green} \pm \frac{T}{4}$$

197 where t_{pp} is the arrival time of the PP wave at the second receiver; t_p is the arrival time of the P 198 wave at the first station t_{green} is the arrival time of the P wave between the two receivers and T is the 199 dominant period. Note that using somehow controlled sources to retrieve body-wave response 200 through interferometry is similar to daylight imaging developed by [Schuster et al., 2004] or to the 201 virtual source approach discussed by [Bakulin and Calvert, 2006] for borehole imaging. For train 202 signals, we need to characterize the source and of course take into account that the sources are 203 moving.

One reason train signals are practical for interferometric studies is that we can easily detect, or learn in advance, that a train is coming. If a railway is sufficiently close to a targeted area, a single train's motion could illuminate many azimuths and potentially different depths. Figure <u>4a</u> shows an example of geometry in Marathon (Ontario, Canada). There a railway essentially surrounds a temporary array put in to assess an ore deposit (detailed in the following section). By selecting station pairs aligned with train locations (illustrated for two positions by red and blue stars), one can potentially illuminate the ore body from a broad azimuth range. Figure <u>4b</u> to 4d are schematics of several P-wave interference scenarios, each with a pair of stations. They offer a perfect ballistic

212 interference between a diving P and PP wave (Figure <u>4b</u>) leading to a directly measurable diving P

213 wave between the two receivers; and a classical scenario of a scattered wavefield from which we

214 expect some random source energy to transit between the two receivers (Figure <u>4</u>c); See also a more

- 215 problematic interference between two diving wave, or a head wave recorded at the two stations
- 216 (Figure <u>4d</u>). Instances of this last scenario are sometime regarded as spurious correlations or virtual
- 217 refractions (Dong et al., 2006; Snieder et al., 2006; Mikesell et al., 2009). Although not included within

the impulse response between the two stations, this last correlation feature might be useful for

219 imaging if it is well distinguished from expected diving waves (Dong et al., 2006).

220 We decided to try to illuminate specific ray paths by using a data processing workflow,

starting with the selection of short time windows including specific train passages. This presumably

222 could be extended to any kind of seismic tremors and should help extract body waves between well-

selected pairs of stations useful for imaging and monitoring studies.

224

225 4 Strategy for data processing

226 Standard noise-correlation workflow typically removes strong transient events such as earthquakes 227 and then correlates the entire remaining time series recorded at different sensors (Bensen et al., 228 2007). With opportune sources including train traffic we propose a novel workflow. It includes source 229 characterization with signal and station pair selections as alternatives to blind correlation. We thus 230 aim to improve the signal-to-noise ratio (SNR) of the reconstructed correlation functions and the 231 temporal resolution of monitoring studies. This approach is illustrated is sections 5 and 6 for imaging 232 and monitoring applications, respectively. Figure 5 summarizes the five main stages of our data 233 processing in comparison to the classical method of continuous blind data correlation. 234 The workflow's steps:

- Identify opportune source signatures in the continuous data and, if possible, locate these
sources perhaps by distance but at least in azimuth. As shown in section 2, the modeling of opportune
sources helps reveal the temporal and spectral content of the generated wavefield. Standard (shorttime average window / long-time average window) and more advanced techniques (e.g., Meng et al.,
2019; Kong et al., 2019) detect these transient events. Array processing techniques (e.g., Cheng et al.
2020) can be used to locate their sources.

Station pair selection: With source location estimates in mind we can narrow down the
options for station pairs. For a given signal time window we use only station pairs for which the train
source is in a stationary phase zone. During a train passage, the energy carried by its seismic signal
reaches an array of sensors from a range of directions. Figure <u>4a</u> illustrates two train positions at
different times (red and blue stars) and the associated selected stations for pair-wise correlations
(red and blue dots).

247 - Compute cross-correlations after proper time windowing and station pairs selection.
248 - Stack (by events, by azimuth): To improve SNR, we stack the cross-correlations over
249 different events. Cultural sources such as train traffic have the advantage of reliability and frequent
250 repetition.

- Measurement and analysis: Depending on the type of studies, various approaches such as
 travel time measurements can enhance imaging and monitoring applications.

253

254 5 Body- and surface-wave retrieval from correlations of train tremors applied to mineral
 255 exploration

256 We investigated a region near Marathon, Ontario, Canada (see Fig. <u>6b</u>) where potential targets

- 257 include a high concentration of platinum group metals and minor Cu in a gabbro intrusion.
- 258 Reconstruction of high-frequency body-waves from train noise correlations was of significant

interest. A reason is such signals' sharp sensitivity to seismic velocity contrasts at depth, offering a
 clear path to imaging geological boundaries. In the fall of 2018 we 1020 seismic stations in a backbone

array and a dense station line (see Fig. <u>6b)</u>. We recorded 30 straight days of seismic signals.

262 Dales et al. (2020) showed that the main generators of high-frequency seismic noise in 263 Marathon are freight trains to the southwest. They reinforced earlier evidence that by selectively 264 using train traffic noise, one retrieves body waves better than does correlating a more extended or full 265 noise record. <u>Dales et al. (2020</u>) stacked correlations over 1 month, selecting all periods during which 266 the ambient noise came from the direction aligned with a dense W-E line of sensors. Their study is 267 illustrative but the results did not allow them to perform 3D imaging. We moved a step further by separating and binning noise azimuths for virtual source retrieval in different directions. Following 268 269 the workflow proposed in section 4, we detected train passages, inferred the positions and azimuths 270 of the trains relative to the array, carefully selected station pairs and time windows for correlations, 271 and finally stacked by train passage and azimuth.

A more detailed workflow follows:

261

273 - We first generated a catalog of train passages with the covariance matrix method proposed 274 by Seydoux et al. (2016). This method uses the spatial coherence of the signals to detect emergent 275 signals in the noise. We applied the procedure to the entire data set day by day and detected 207 train 276 passages in 30 days. We retained for study approx. 180 events after skipping overlapping trains. 277 Beamforming concluded that the array receives energy from each train for approx. 80 minutes. 278 - Second, we extracted train signals from the rest of the recording, and selected station pairs in 279 line with train positions. To determine position, we did beamforming within 1-minute-long windows 280 using data filtered between 8 and 16 Hz (Fig. <u>6-d</u> and e, the right side shows 6 beamforming panels for

- 6 different events at two different times). Each panel corresponds to a one-minute beamforming time
- window and one single train passage. We saw that with properly selected time windows for each

event, we got a tight and usable ranges of azimuth. We assumed that the main source of energy was the train and noted the maximum beam power. We back-projected this signal onto the railway to locate each train minute by minute. Figure <u>6-b</u> (red and blue cross) shows the train position from the first beamforming panel (i.e., one single train). We then selected station pairs that are in line with the train position for each minute, always taking the station closest to the railway as a virtual source (red and blue arrows in Fig. <u>6b</u>). We applied an azimuthal filter of +/- 5 degrees for each station pair with respect to the train position.

290 - Third, we cross-correlated the selected station pairs minute by minute without overlap and 291 for each event (i.e., train passage). Filters excluded signals outside 15 to 40Hz to avoid surface waves. 292 We stacked cross-correlations according to their inter-station distances and collected them in 293 distance-binned correlation gathers for the selected station pairs (second step). In contrast, Figure <u>6a</u> 294 shows the stack of one-minute cross-correlation for a quiet period (i.e., non-train passage), 295 highlighting the absence of coherent wave propagation in this rather high-frequency window. 296 - In the fourth and last step we stacked events sharing the same train azimuth. We stacked 297 these correlation gathers into a reference azimuthal gather. We converged on a stable reference stack 298 from 6 train passages. We showed that by applying the workflow explained in section 4 we only 299 needed one minute of data and stacking over the 6 events to retrieve body-waves. Figures 6-d and e, 300 left side, show the stacked section over 6 train passages with one-minute data segments. 301 We retrieved two dominant arrivals with an apparent velocity of 3.8 km/s and 7 km/s. There 302 are uncertainties, but we suggest that the first arrival is a P-wave, and the second one is probably a 303 mix of S- and surface waves. One can use both P- and S-waves plus high-frequency surface waves

304 jointly for imaging the subsurface. We need further analysis to assess the types of body-waves (direct,

305 refracted) and how one can use velocity variations in the azimuth's function for 3D imaging.

306

307 6 Retrieving long-range body waves from train-tremor correlations to monitor the San Jacinto
 308 Fault Zone

309 Following studies by <u>Nakata et al. (2015)</u> and <u>Nakata et al. (2016)</u> of high-frequency body- wave

310 retrieval using dense seismic receiver arrays <u>Takano et al. (2020)</u>, <u>Brenguier et al. (2020)</u> and <u>Zhou</u>

311 and Paulssen (2020) explored ways to monitor temporal changes of ballistic wave velocities. In this

312 section, we employ opportune seismic sources to passively monitor temporal changes and revisit the

313 experiment of <u>Brenguier et al. (2019).</u> Here, the goal was to use ballistic P-waves, reconstructed from

314 ambient vibrations between two dense arrays, to monitor subtle velocity changes at depth within the

315 San Jacinto Fault Zone (SJFZ, parallel to the San Andreas and part of the same fault complex).

316 <u>Brenguier et al. (2019)</u> showed that standard ambient noise correlation processing can retrieve

317 high-frequency direct P-waves that traveled between two arrays, one at Piñon Flat Observatory (PFO)

and the other on the Cahuilla Reservation (CIR, Fig. 7). The main sources of these P-waves were

Coachella Valley freight trains traversing the Coachella Valley about 30 km to the East-North-East of

320 PFO. <u>Brenguier et al. (2019)</u> used full records of ambient noise to obtain stable direct P-wave

321 seismograms. We showed that, by carefully selecting time-windows where most of the energy is

generated by trains, the quality and spatiotemporal stability of the reconstructed P-waves rose. As
 described in Figure 5, the standard three-step noise correlation computation workflow was replaced

324 by a four-step procedure to correlate only the main source of opportune energy i.e., here, trains. Our

325 workflow:

First, we built our train catalog for the period of interest (July 22 to August 11 of 2018) using
three broadband stations (MGE, IDO, and THM of the CI network, Fig. 7b) near the railway in the
Coachella Valley.) After band-pass filtering the continuous data between 0.75-5 Hz we slant-stacked
the envelopes of the continuous seismograms with apparent velocities of plus or minus ~95 km/h
(dashed blue and orange lines in Fig. 7c). This procedure detected trains passing through the

331 stationary phase zone (Fig. <u>7b</u>) both North to South and South to North.

- With the catalog in hand, we sorted broad time-windows, those with and without train
 tremors (large green and red shaded rectangle in Fig. <u>7c.</u> respectively).
- In the third step, to analyze the dense nodal array data, we cross-correlated the selected
 time-windows, (green rectangles in Fig 7c) and 8a)i), filtered between 3 and 10 Hz and using nonoverlapping data segments of 30 min.

337 - We next stacked the cross-correlations, according to their inter-station separations, into 338 distance-binned correlation gathers. We highlight only correlation gathers for the causal part of the 339 correlations (from PFO to CIR), for a time-window centered at the P-wave arrival time. These 30 min correlation gathers were further pruned based on three quality criteria seen in their vespagram, 340 341 indicators of the different waves' apparent velocities observed in the correlation gathers. (Davies et 342 al., 1971). Figure <u>8a)ii</u> shows the vespagrams associated with the correlation gathers in the upper 343 panels (Fig. 8a)i). The three quality criteria were: 1) SNR1, the ratio between the maximum 344 vespagram amplitude in the [0.13-0.2] s/km slowness (inverse of velocity [5-7.5] km/s velocity) 345 window (dashed black rectangle in the leftmost vespagram panel, Fig. <u>8a)ii</u> and the root-mean-346 squared (RMS) amplitude of the rest of the vespagram. 2) SNR2, the ratio between the maximum 347 vespagram amplitude in the [0.13-0.2] s/km slowness × [4.5-6] s travel-time window (solid black 348 rectangle in the leftmost vespagram panel, Fig. <u>8a)ii</u> and the RMS in the rest of the [0.13-0.2] s/km 349 slowness window. 3) MaxAmp, the peak vespagram amplitude in the [0.13-0.2] s/km slowness × [4.5-350 6] s travel-time window. SNR1 is used to reject gathers exhibiting phases with apparent velocities 351 different from the expected apparent velocity of a direct P-wave. SNR2 is used to reject gathers 352 exhibiting energetic spurious phases with arrival times that are either too early or too late, even 353 though their apparent velocity is correct. We used the MaxAmp criteria to reject gathers for which the 354 expected P- wave phase is not energetic enough or is too large for a train signal, indicating the

355 detection of an earthquake located in the Fresnel zone (Fig. <u>8a)i).</u> For this specific situation, we set 356 thresholds to be sure the conditions SNR1 \ge 2.5, SNR2 \ge 1.5, and 0.15 \le MaxAmp \le 4.0 were met for a 357 correlation gather to be selected (green boxes in Fig. <u>8a)iii).</u> The actual values for SNR1, SNR2 and 358 MaxAmp are shown below each vespagram in Figure 8a. 359 - In the last step, we stacked the selected correlation gathers into daily gathers and a reference gather including every selected gather for the whole period of interest (Fig. 8a)vi). Ultimately, we 360 361 used less than 20% of the full dataset for the monitoring measurements (Fig. 8b). 362 To quantify the improvement of the signals using the opportune sources approach, we 363 measured the ratio of SNRs between a reference gather computed with all the data (Fig. 8c)i, similar to Brenguier et al. (2019) and the reference gather from selected train windows shown in Fig. 8aliv. 364 365 We performed this operation for each waveform in the gathers. 366 The results (Fig. $\frac{3}{2}$ c)ii) show that the opportune source concentration improves the SNR of the 367 P-wave signal by an average of more than 25%. This has important implications for monitoring. As 368 <u>Silver et al. (2007) showed</u>, the SNR is the main factor controlling the precision of a time delay 369 measurement between two similar waveforms; such precision scales linearly with the SNR. Therefore, 370 carefully selecting train signals before correlation allows us to improve the precision of the 371 monitoring measurements. The 30 min long segments of continuous data used here to discretize the 372 study period could be decreased and adapted even more closely to the train signals, which in turn 373 should allow even larger SNR improvements. This final process is still ahead of us but the 374 methodology proved effective. 375 -The final step of the workflow was to measure seismic velocities. Different approaches were 376 available. We chose to measure relative time-shift between the seismograms resulting from the slant-

378 respectively). We measured the instantaneous time-delay $\delta t(t)$ between the traces in the 3-10 Hz

stack at 6 km/s of the daily gathers and the reference gather (black and red traces in Fig. 8b,

379 frequency band using the cross-wavelet transform algorithm of Mao et al. (2020). Although a time-380 delay was determined for each sample of the waveform, we only show δt values for the direct P-wave. 381 Here, the time-shifts we found are shorter than 0.1% of the propagation time, corresponding to time-382 shift shorter than 5 ms between the daily and reference seismograms. These time-shifts can be 383 translated into relative velocity changes with the relation $\delta v/v = -\delta t/t$, using the absolute travel-time 384 t of the slant-stacked P-wave. We obtained velocity changes on the order of $\pm 0.1\%$. The meaning of 385 these values is difficult to know because it will take a lot more work to understand the exact 386 sensitivity of the reconstructed P-wave and the different trade-offs among the source and structure 387 sensitivities (see Fig. 4). We plan to estimate 3D spatial sensitivity kernels for these retrieved travel 388 time perturbations and correct for shallow, environmental velocity changes. Thus, we shall see 389 whether we will soon be observing and locating any places where changes in seismic velocity at a few 390 kilometers depth occur within the San Jacinto Fault Zone.

391

392 **7 Discussion and conclusions**

We see great opportunity for exploiting any available massive freight train noise recovery to improve
crustal imaging and monitoring dramatically. We describe applications to North America but our
conclusions have global ramifications, especially in such countries and regions as China, Europe,
Japan, and India. All have large freight railway systems, often with high speed passenger lines too. The
latter are lighter than freight trains and generate less energetic tremors to be sure, but applications
might be found in near-surface environmental or engineering studies.
For all its potential, to put heavy freight train noise to work for seismic imaging and

monitoring reasons is of course limited to regions near railways. It also requires trains traveling at
 rather high speed. But generally, this paper presents a workflow for using other and more local
 sources of cultural noise, including car and truck traffic, wind farms, and natural sources such as surf

403 break or tectonic, volcanic tremor, as opportune sources of useful seismic noise.

404 Although promising, this work poses important, practical challenges that the field must 405 confront. Most important is to improve understanding of the retrieved body and surface waves' 406 spatial sensitivity to crustal structures when combining seismic interferometry with opportune 407 sources. In contrast to actively-controlled and placed sources, measurements of travel times or 408 temporal travel time perturbations using more irregular sources can improve sensitivity not only to 409 the structure between the receivers but contrarily can also blur the overall picture due to interference 410 in areas between the noise source and the receivers. This latter downside may induce misleading 411 interpretations of velocity or velocity change measurements.

412

A drawback of examples in this paper is that they used so many sensors (hundreds). Train vibrations cost seismologists nothing but recording them is not yet easy. One solution to overcome these limitations is to find a way to use permanent, single seismic stations instead of costly temporary arrays. One potential initial approach is to deploy dense but temporary seismic arrays around permanent seismic stations. This may help to identify useful phases emanating from noise correlations of opportune sources. A hope is that we learn enough to extract the needed information on a long-term basis with permanent stations alone.

One additional major advance would be to couple Distributed Acoustic Sensing data (Zhan,
2020) to seismic interferometry with opportune sources, as described by Dou et al. (2017) for car
traffic and near-surface applications. This indicates potential for reconstructing widespread virtual
sources along fiber-optics from correlations of both short- and long-range opportune sources. Success
will open the path to many applications including water resource management in the near-surface
and earthquake studies at greater depth.

426

- 427 8 Data and Resources
- 428 The Marathon dataset will be released in June 2021. It will either be hosted online or freely sent on
- 429 external hard disks upon request via the website for passive seismic techniques for environmentally
- 430 friendly and cost-efficient mineral exploration (PACIFIC) (<u>https://www.pacific-h2020.eu</u>). The San
- 431 Jacinto array data are available on request to Florent Brenguier. The broadband seismic data used in
- 432 this study originate from the Southern California Earthquake Center, Caltech. Dataset.
- 433 doi:10.7909/C3WD3xH1.
- 434 Open-source codes reproducing <u>Lavoué et al. (2020)'s</u> results are available at <u>https://gricad-</u>
- 435 gitlab.univ-grenoble-alpes.fr/pacific/publications/2020_Lavoue-et-al_SRL_supplemental-material.
- 436 Maps are made with Natural Earth. Free vector and raster map data @ naturalearthdata.com.
- 437 Figure <u>3</u> is based on a map published by the US Department of Transportation
- 438 (<u>https://railroads.dot.gov/sites/fra.dot.gov/files/inline-images/0209.png</u>), built from the
- 439 (confidential) waybill samples 2010 established by the US Surface Transportation Board, which we
- 440 could unfortunately not access directly.
- 441 Coachella Valley train video can be found at
- 442 <u>https://www.youtube.com/watch?v=pE0LYuf7_F8</u>
- 443

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593 List of Figures

594 Figure 1: Cartoon showing examples of studies related to train seismic tremors.

595 Figure 2: Top: A train tremor recorded 3 km away from a seismic station in Marathon, Canada.

596 Bottom: Spectrogram showing clear spectral lines oscillating as train speed varies.

597 Figure 3: Regions of potential long-range train tremor detection from the main railway route and

598 annual tonnage information in North America. Colors represent annual freight tonnage, which is an

indication of the number of trains travelling on the rail sections (sections with annual tonnage < 10

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601 which we may detect individual train tremors (50 km from the railway, see details in the text).

602 Figure 4: Schematic representation of seismic interferometry for opportune sources. (a) A railway

603 surrounding a dense geophone array; an example from the Marathon (Canada) deployment. Different

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611 minute cross-correlation for a quiet time period. b) Map of the study zone in the north of Marathon,

612 Ontario, Canada. Grey dots are the 1020 seismic stations. The black dashed line is the railroad (CPRS).

613 The red and blue cross are the position studied. c) Train seismic record. d) - e) left: stacked section

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Figure 7: Detection of train passages in the Coachella Valley. a): Layout of the dense nodal arrays used

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625 Figure 8: Workflow for monitoring applications. a) i: Cross-correlation computation and correlation gathers construction for every 30 min-long segments of selected continuous data from the dens 626 627 arrays. a) ii: Vespagrams of the correlation gathers used for the 30-min window selection. The black 628 rectangles in the leftmost panel are used to measure the different selection criteria. a) iii: The three 629 selection criteria associated to each 30-min window. The red boxes are rejected, the green boxes are 630 kept for the next step. a) iv: Stack of the selected 30-min gathers into daily gathers, then the daily 631 gathers into the Reference gather. b) Monitoring results. The bottom histogram shows the number of 632 hours of continuous noise records stacked to obtain the daily correlation gathers. c) i: Stack of every 633 30-min windows without train selection, similar to the section shown in <u>Brenguier et al. (2019)</u>. c)ii: 634 Histogram of the signal-to-noise ratio improvement between the Reference correlation gathers 635 without and with train signal selection 636

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