

# The Latitudinal Diversity Gradient: Novel Understanding through Mechanistic Eco-evolutionary Models

Mikael Pontarp, Lynsey Bunnefeld, Juliano Sarmento Cabral, Rampal Etienne, Susanne Fritz, Rosemary Gillespie, Catherine Graham, Oskar Hagen, Florian Hartig, Shan Huang, et al.

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#### Highlights Box (870 characters including space)

The latitudinal diversity gradient (LDG) is one of the most widely debated patterns in ecology and evolution, associated with hundreds of papers, dozens of hypotheses, and disagreements about its underlying processes.

The lack of agreement stems from 1) the verbal nature of existing hypotheses, 2) the failure to mechanistically integrate all relevant ecological and evolutionary processes to the LDG, and 3) the degree to which many empirical patterns are consistent with multiple LDG explanations.

We show how mapping LDG hypotheses to a set of key ecological and evolutionary processes leads to a better understanding of the internal logic of those hypotheses. The codification of those processes within a mechanistic eco-evolutionary model is essential for contrasting support for hypotheses and for understanding the relative importance of the processes themselves.

### **Outstanding Questions**

What are the underlying causes of the latitudinal diversity gradient (LDG)? Multiple hypotheses have been formulated to answer this question but a consensus remains elusive, partly due to hidden assumptions that are associated with these primarily verbal hypotheses.

What key processes, describing how organisms interact with their biotic and abiotic environment, are necessary and sufficient for modeling biodiversity patterns associated with the LDG? We argue for eco-evolutionary processes: selection, dispersal, ecological drift, and speciation, but researchers need to explore the tradeoffs associated with modeling these processes in greater or lesser detail.

How are eco-evolutionary simulation models best confronted with empirical data (e.g. phylogenies, species ranges, rank abundances, and functional trait distributions)? Pattern oriented modeling and novel Bayesian statistics may be the key for such a quantification of the link between process and LDG patterns.

| 1  | Title:  |
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| 2  | The latitudinal diversity gradient - novel understanding through                          |
| 3  | mechanistic eco-evolutionary models   |
| 4  |   |
| 5  | Mikael Pontarp, Department of Evolutionary Biology and Environmental Studies,             |
| 6  | University of Zurich, Winterthurerstrasse 190, 8057 Zurich, Switzerland                   |
| 7  | Lynsey Bunnefeld, Biological & Environmental Sciences, University of Stirling, Stirling   |
| 8  | FK9 4LA, Scotland   |
| 9  | Juliano Sarmento Cabral, Ecosystem Modeling, Center for Computational and Theoretical     |
| 10 | Biology (CCTB), University of Würzburg, Emil-Fischer-Str. 32, 97074 Würzburg,             |
| 11 | Germany   |
| 12 | Rampal S. Etienne, Groningen Institute for Evolutionary Life Sciences, University of      |
| 13 | Groningen, Box 11103, 9700 CC Groningen, The Netherlands                                  |
| 14 | Susanne A. Fritz, Senckenberg Biodiversity and Climate Centre (BiK-F), Senckenberg        |
| 15 | Gesellschaft für Naturforschung, D-60325 Frankfurt & Institute of Ecology, Evolution      |
| 16 | and Diversity, Goethe-University, D-60438 Frankfurt                                       |
| 17 | Rosemary Gillespie, Environmental Science, 130 Mulford Hall, University of California,    |
| 18 | Berkeley, CA 94720, USA   |
| 19 | Catherine H. Graham, Swiss Federal Research Institute WSL, CH-8903 Birmensdorf,           |
| 20 | Switzerland   |
| 21 | Oskar Hagen, Swiss Federal Research Institute WSL, CH-8903 Birmensdorf, Switzerland       |
| 22 | & Landscape Ecology, Institute of Terrestrial Ecosystems, ETH Zürich, CH-8092 Zürich,     |
| 23 | Switzerland   |
| 24 | Florian Hartig, Theoretical Ecology, University of Regensburg, Universitätsstr. 31, 93053 |
| 25 | Regensburg, Germany   |

| 26 | Shan Huang, Senckenberg Biodiversity Research Centre, Senckenberganlage 25, 60327,       |
|----|--|
| 27 | Frankfurt am Main, Germany   |
| 28 | Roland Jansson, Department of Ecology and Environmental Science, Umeå University, 901    |
| 29 | 87 Umeå, Sweden  |
| 30 | Odile Maliet, Institut de biologie de l'Ecole normale supérieure (IBENS), Ecole normale  |
| 31 | supérieure, CNRS, INSERM, PSL Research University, 75005 Paris, France                   |
| 32 | Tamara Münkemüller, Univ. Grenoble Alpes, CNRS, Univ. Savoie Mont Blanc, CNRS,           |
| 33 | LECA, Laboratoire d'ÉcologieAlpine, F-38000 Grenoble, France                             |
| 34 | Loïc Pellissier, Swiss Federal Research Institute WSL, CH-8903 Birmensdorf, Switzerland  |
| 35 | & Landscape Ecology, Institute of Terrestrial Ecosystems, ETH Zürich, CH-8092 Zürich,    |
| 36 | Switzerland  |
| 37 | Thiago F. Rangel, Department of Ecology, Federal University of Goiás, Campus             |
| 38 | Samambaia, Goiânia GO, Brazil. 74690-900.  |
| 39 | David Storch, Center for Theoretical Study, Charles University and Czech Academy of      |
| 40 | Sciences. Jilská 1, 110 00 Praha 1 & Department of Ecology, Faculty of Science, Charles  |
| 41 | University, Viničná 7, 128 44 Praha 2, Czech Republic                                    |
| 42 | Thorsten Wiegand, Dept of Ecological Modeling, Helmholtz Centre for Environmental        |
| 43 | Research – UFZ, Permoserstrasse 15, 04318 Leipzig, Germany & German Centre for           |
| 44 | Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig, Leipzig, Germany            |
| 45 | Allen H. Hurlbert, Department of Biology and Curriculum in Environment and Ecology,      |
| 46 | University of North Carolina, Chapel Hill, NC 27599, USA                                 |
| 47 |  |
| 48 | Correspondence: mikael.pontarp@biol.lu.se (M. Pontarp)                                   |
| 49 |  |
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#### 52 Abstract

The latitudinal diversity gradient (LDG) is one of the most widely studied patterns in ecology, 53 yet no consensus has been reached about its underlying causes. We argue that the reasons are 54 the verbal nature of existing hypotheses, the failure to mechanistically link interacting 55 ecological and evolutionary processes to the LDG, and the fact that empirical patterns are 56 often consistent with multiple explanations. To address this issue, we synthesize current LDG 57 hypotheses, uncovering their eco-evolutionary mechanisms, hidden assumptions, and 58 commonalities. Furthermore, we propose mechanistic eco-evolutionary modeling and an 59 inferential approach that makes use of geographic, phylogenetic, and trait-based patterns to 60 assess the relative importance of different processes for generating the LDG. 61

62

### 63 State of the art and calls for novel mechanistic approaches

The increase in species diversity from the poles to the equator, commonly referred to as the 64 65 latitudinal diversity gradient (LDG), is one of the most pervasive [1, 2] and widely debated biological patterns, with at least 26 listed hypotheses associated with it [3-5]. These 66 hypotheses can be classified into three higher-level categories related to latitudinal variation 67 in ecological limits (See Glossary), diversification rates, and time for species accumulation 68 (Table 1). Empirical evidence seems compatible with many of these hypotheses. For example, 69 species richness is correlated with purported proxies for ecological limits such as net primary 70 productivity [6-8], diversification rate can vary latitudinally due to gradients in temperature 71 [9, 10], and diversity is greatest in regions where diversification has occurred over a longer 72 73 period [11-13]. These and similar studies have improved our understanding of the LDG and macroevolutionary patterns in general, but the diffuse support for different hypotheses reveals 74 a lack of consensus and points to challenges in testing and evaluating these hypotheses. 75

We argue that reconciling the causes of the LDG requires moving beyond verbal chains of 77 logic, which are inherently prone to error with respect to how assumptions result in their 78 predicted effect [14], and towards a more formal and mechanistic framework. Verbal 79 hypotheses often contain hidden assumptions that go untested and lack specificity with 80 respect to the mechanistic underpinning of relevant ecological and evolutionary processes. 81 Verbal hypotheses also tend to focus on a single driver to predict just one or a few patterns 82 83 related to that driver. Consequently, these predictions alone may not be sufficient to distinguish competing hypotheses [15, 16]. A more explicit description of the processes 84 underlying all hypotheses will generate a wider range of predictions which can be used to 85 disentangle possibly non-mutually exclusive hypotheses and evaluate the relative importance 86 of these processes. 87

88

89 We, therefore, call for a transformation in the way biologists think about and study the LDG. The classification of hypotheses (Table 1) is an important first step, but it does not resolve the 90 91 difficulty of identifying and quantifying the relative strength of the processes underlying the 92 LDG. We propose moving towards a mechanistic framework, founded on key processes that describe how individual organisms interact with their biotic and abiotic environments, and 93 94 how these interactions scale up to result in the LDG and other secondary biodiversity 95 patterns. Ultimately, revealing the nature of these eco-evolutionary processes will yield more insight than continuing to argue about non-mutually exclusive LDG hypotheses. 96

97

### 98 Examining the LDG through the lens of mechanistic macroecology

#### 99 Key processes across levels of biological organization

100 We recognize four key processes, as defined by [17], that necessarily underpin the LDG and

- thus should be included as components of any LDG model that aims to capture variation in
- species richness, abundance, and composition over a spatially and temporally variable

environment: 1) selection, 2) ecological drift, 3) dispersal, and 4) speciation. Selection, drift, 103 and dispersal can all influence the birth, death, and movement of individuals over small 104 spatial and temporal scales. Selection (sensus [17]) encompasses any process that results in 105 106 the differential survival and reproduction of individuals, based on how environmental filtering [18] and biotic interactions select for specific traits. Ecological drift manifests itself 107 via stochastic variation in the births and deaths of individuals. Dispersal of individuals is 108 influenced by the spatial structure of the landscape as well as individual dispersal capabilities 109 and can lead to species colonizing new regions. Each of these individual-level ecological and 110 microevolutionary processes is propagated throughout higher levels of biological 111 112 organization, resulting in discrete patterns at the level of populations, species, and communities (Figure 1). 113

114

115 Over longer timescales, environmental conditions have fluctuated with glacial/interglacial oscillations, cooler and warmer periods in Earth's history, orogenic events, volcanic activity, 116 117 and shifts in tectonic plates, all of which can affect diversity dynamics [19-21]. At these 118 spatial and temporal scales selection, ecological drift, and dispersal determine where species or even whole clades are able to persist geographically and how traits evolve. Species that 119 120 become poorly adapted to the environment or that are poor competitors for resources are expected to have low fitness and ultimately go extinct, reflecting critical eco-evolutionary 121 feedbacks [22, 23]. Speciation becomes especially relevant with increasing temporal and 122 spatial scales. The details of how speciation occurs are complex and the critical question in a 123 LDG context becomes how and why speciation mode or rate varies along geographic 124 gradients. All of the processes described above necessarily interact with each other and with 125 the spatiotemporal environment, resulting in the broad range of geographic and phylogenetic 126 biodiversity patterns that we observe today. As highlighted below, these processes can help us 127 compare and disentangle LDG hypotheses. 128

#### 130 Classical LDG hypotheses revisited

Characterizing LDG hypotheses based on the key processes described above helps to clarify 131 the internal logic of those hypotheses, and highlights how they differ. All hypotheses invoke 132 an explicit driver or condition that varies latitudinally (Figure 1), but considering the 133 processes related to this driver, often below the level of biological organization at which the 134 hypothesis was formulated, can reveal previously unrecognized assumptions and predictions. 135 Below we discuss four examples, chosen to represent hypotheses invoking variation in limits, 136 rates, and time. These examples may also serve as a guide for better understanding other 137 hypotheses. 138

139

#### 140 *The more individuals hypothesis*

141 The "more individuals hypothesis" invokes latitudinal variation in ecological limits and a positive relationship between the number of species and resource availability [24]. If 142 143 resources are finite and a zero-sum constraint on the total amount of biomass or individuals applies, any increase in diversity over time results in a decrease in average biomass or 144 abundance per species. Extinction rates will thus be diversity-dependent and richness will be 145 regulated around some equilibrial value that scales with the total number of individuals that 146 can be supported [24, 25]. This hypothesis implicitly invokes interspecific competition and 147 the resultant allocation of resources across species (Table 1). The argument does not invoke 148 selection (Fig. 1) and can be applied equally to ecologically neutral or non-neutral species. An 149 important and unstated assumption is that the response of the biota to environmental change is 150 fast enough that richness is at equilibrium across the latitudinal gradient. 151

152

#### 153 The seasonality hypothesis

The seasonality hypothesis argues that the within-year environmental stability of the tropics 154 results in either greater diversification rates or higher ecological limits via increased niche 155 packing (Table 1 and Fig. 1). The first argument suggests that in the less seasonal tropics, 156 organisms experience a smaller range of conditions and hence evolve narrower thermal niches 157 compared to the temperate zone. The idea that "mountain passes are higher in the tropics" 158 [26] suggests that dispersal barriers were effectively greater there, increasing the chance of 159 population divergence and allopatric speciation [27, 28]. Selection thus dictates the 160 environmental conditions that a species can tolerate, but it is speciation rate that varies with 161 latitude and ultimately generates the LDG. The second version of the seasonality hypothesis 162 163 suggests that stability-driven specialization promotes intense niche packing, and hence more species can coexist in the tropics [29, 30]. Species are then hypothesized to evolve narrower 164 resource breadths rather than narrow thermal niches, assuming that resources are limited and 165 166 that diversity actually emerges from niche packing [29] (Table 1, Figure 1). Implicit in both hypotheses is a performance tradeoff between specialists and generalists, such that specialists 167 168 evolve and outcompete generalists in aseasonal environments.

169

#### 170 The temperature-dependent speciation rates hypothesis

171 The hypothesis that higher temperature elevates evolutionary rates has been used to explain global diversity patterns in both land and sea [31, 32]. One version of the hypothesis [33] 172 follows from the metabolic theory of ecology [34], stating that temperature positively affects 173 all biological rates including mutation rates, which can lead to speciation and ultimately 174 diversity accumulation. This assumes that speciation rates directly follow from mutation rates, 175 which may be problematic if other factors (e.g. existence of geographic barriers, assortative 176 177 mating) are limiting speciation. The hypothesis makes no specific predictions regarding selection or dispersal. Importantly, this hypothesis could be invoked in either an equilibrium 178 or non-equilibrium world. In a non-equilibrium world, speciation rates alone could explain 179

variation in richness between regions if all regions were similarly old, and extinction rates
were equal across regions [10]. In an equilibrium world, increased speciation rates in the
tropics can lead to higher equilibrium richness, as in Hubbell [35] neutral model of
biodiversity.

184

#### 185 The tropical niche conservatism hypothesis

The tropical niche conservatism hypothesis [36, 37] states that diversity is higher in the 186 tropics because of the infrequency of colonisations of the cooler temperate zone by a tropical 187 ancestor due to strongly conserved thermal niches and tropical origins of most taxa, and hence 188 the longer time available for diversification in the tropics. The hypothesis assumes that, 189 barring major disturbances or climatic shifts, species richness will continue to increase 190 unbounded over time [37]. This hypothesis has only ever been formulated at the species level, 191 192 and yet it inherently implies a particular set of rules by which individuals interact with the environment and each other. Selection by the environment is by definition strong, with 193 194 individuals unable to survive and reproduce under conditions different from their optima, and 195 evolution of a new optimum is rare. Less obvious are the implications of the hypothesis for resource competition between individuals. Unbounded, or diversity-independent, 196 diversification is only possible in the absence of an overarching zero-sum constraint [25]. The 197 absence of such a constraint implies that while the population size of a species might be 198 affected by the fit between the environment and environmental performance traits, it is 199 independent of the population sizes of potential competitors and of interspecific competition 200 more broadly. 201

202

#### 203 The utility of a mechanistic framework

The examples presented above illustrate three insights gained by adopting a generalized ecoevolutionary framework. First, many of the fundamental rules by which organisms are

assumed to interact with each other and with their environment will be qualitatively similar 206 regardless of LDG hypothesis. For example, individual survival and reproduction must be 207 functions of how well adapted the individuals are to their environment relative to their intra-208 and interspecific competitors. Second, latitudinal differences in ecological limits, 209 diversification rates, and time for diversification may emerge via different mechanisms 210 integrated into the same framework. For example, diversification rates may be higher due to 211 the temperature-dependence of mutation rates [9, 38] or due to the increased reproductive 212 isolation in aseasonal environments [27, 39]. Third, although each hypothesis invokes a 213 primary driver or process, we have shown that these hypotheses also make unstated 214 assumptions about other processes and mechanisms which need to be considered in concert to 215 fully understand the emergence of the LDG and other macroecological and macroevolutionary 216 217 patterns.

218

### 219 Mechanistic eco-evolutionary models as a quantitative tool for

#### 220 understanding LDG patterns

The mechanistic framing of processes that underpin the LDG naturally facilitates the 221 222 translation from heuristic thinking to mechanistic eco-evolutionary models (Box 1). We believe that building these models will be essential to making progress on the LDG and 223 224 biodiversity patterns in general because they allow quantitative analyses and predictions of 225 the various secondary patterns. Secondary patterns are key for more powerful inference about the origin of species richness patterns. Below we provide concrete examples of components of 226 a mechanistic LDG model and associated patterns followed by a discussion about how to use 227 228 such a model for inference with the available data.

229

#### 230 Mechanistic models for studying the LDG

#### 231 *The spatiotemporal environmental template*

The basic driver of an LDG model is the spatiotemporal environmental template. It can be viewed as the theater in which the eco-evolutionary play unfolds, and the spatiotemporal variation in that template (Earth's climatic, geologic, and tectonic history) may be as critical to emergent diversity patterns as the mechanisms and processes governing how organisms interact and evolve [40-42]. Explaining the LDG with eco-evolutionary **simulation models**, therefore, benefits from suitable paleoenvironmental reconstructions [43] and the integration of global data sets on continental topography and paleoshorelines [44, 45].

239

#### 240 *Trait-based local population dynamics*

Traits are essential for individual survival and reproduction (fitness) and mechanistic models 241 that include interactions of organismal traits and the abiotic and biotic environment, below the 242 243 level of species (i.e. at the individual, population or metapopulational level), is thus appropriate. Local population dynamics can, for example be assumed to be trait-dependent 244 245 [46, 47]. One set of traits might determine an organism's fitness dictated by the abiotic environment, a different set of traits may influence relative fitness associated with the suite of 246 potential competitors present at any point in time [48]. Such a modeling approach requires 247 248 making basic assumptions that facilitate the link between environmental conditions, available resources, and ecological interactions, and population dynamics then emerge from those 249 assumptions. 250

251

#### 252 Spatial and eco-evolutionary metacommunity dynamics

For modeling eco-evolutionary metacommunity dynamics, trait-based models need to be
implemented in a larger spatial context, allowing individuals to disperse over geographically
relevant extents. Metacommunity dynamics will arise from eco-evolutionary feedbacks
between dispersing individuals and recipient communities within the context of the

spatiotemporal template [49]. Evolutionary dynamics result from natural selection by both 257 abiotic and biotic conditions, ecological drift, dispersal, and speciation. Speciation can be 258 modeled using a phenomenological approach or more complex allele-based models in which 259 phenotypic trait variability is completely or partially heritable and the accumulation of genetic 260 incompatibilities may drive differentiation of daughter species (Box 2). Each of these 261 modeling components is necessary for capturing the suite of processes invoked by LDG 262 hypotheses (Box 1), they can be modeled with varying degrees of complexity and they come 263 with a set of low-level assumptions that need to be clearly stated (Box 2). 264

265

#### 266 Understanding patterns and inferring processes

Above we have shown that a mechanistic mindset is useful to better understand the internal 267 logic and consequences of the different hypotheses, as well as the interactions among them. In 268 269 addition, a mechanistic model can clarify the biodiversity patterns expected under different combinations of spatiotemporal environmental templates, biotic interactions, and other eco-270 271 evolutionary rules [e.g. 16, 48, 50]. This ability to simulate very different worldviews of how the LDG arises (e.g., "ecological limits", "niche conservatism", etc.) within the same 272 comparative framework is a critical element of our approach as different types of processes 273 modeled with varying degrees of mechanistic detail can be explored and contrasted. 274

275

Ultimately, we need mechanistic models to understand the details of the emerging ecoevolutionary patterns at a sufficient resolution to be able to quantitatively confront them with
data. The more secondary patterns (e.g. phylogenies, species ranges, distributions of
abundance or functional traits) that can be modeled, the greater the diagnostic power of the
model for exploring parameter space and for inferring the strength and interactions of
different processes. The examination of these patterns will also point to the type of data that
will be most valuable for reliable inference of a given process [51].

While we believe that confronting different model scenarios with multiple observed patterns 284 (described in Box 3) is the only way to make progress in understanding the LDG, we realize 285 that substantial conceptual, statistical, and computational challenges are associated with this 286 task [52]. The complexity of the suggested models often makes it difficult to understand the 287 consequences of the underlying assumptions. Ways of overcoming such challenges are to 288 build on known ecological models (e.g. Lotka-Volterra equations) and evolutionary theory 289 290 (e.g. Adaptive Dynamics theory) that has been studied extensively. The models should also be built and analyzed in a sequential manner of increased complexity to shed light on the 291 292 consequences of the key model assumptions and their interactions. While it is not our aim to detail these and other methodological challenges here, we nevertheless highlight two basic 293 inferential approaches that seem particularly promising. First, qualitative matching of multiple 294 295 patterns gives an indication of whether the modeled processes can produce the patterns that we observe [15, 25, 41, 53]. Pattern matching is conceptually straightforward and easily 296 297 allows combining the LDG with multiple observed secondary patterns to compare alternative 298 model or parameter choices. Second, models like the ones suggested above can be fitted to a range of patterns in data using simulation-based methods such as Approximate Bayesian 299 **Computation** [54-57] or synthetic likelihood [58, 59]. Regardless of which inferential 300 approach is used, any empirical patterns that a model is unable to reproduce can be instructive 301 in the iterative process of model improvement. 302

303

#### 304 Concluding remarks

Progress in understanding the processes that underlie LDG patterns and associated diversity
patterns has been slow (see also Outstanding Questions). We repeat calls for a transition in
biodiversity research, translating verbal models into a unified mechanistic framework that can
be implemented in quantitative computer simulations [52, 53, 60]. In such a framework,

researchers can focus on measuring and inferring the ecological and evolutionary processes 309 that govern the interaction of organisms with each other and their environment in time and 310 space, which must ultimately underpin the LDG. By applying this framework, hidden 311 assumptions in current hypotheses are exposed, revealing how the hypotheses relate to each 312 other and how they might be distinguished (Table 1, Figure 1). More importantly, this 313 framework is a roadmap for flexible eco-evolutionary simulation models (Box 1-2) that can 314 generate a rich set of empirical patterns from the same underlying processes. We believe that 315 this ability to produce multiple diagnostic patterns will be crucial for inference (Box 3), and 316 ultimately for converting the available data into new knowledge about macroecology and 317 318 macroevolution. Challenges associated with model construction and the way models are confronted with data will arise, but such challenges are inherent and inevitable to all sciences 319 that deal with complex systems. We are confident that, with time, these challenges can be 320 321 addressed, and models combining realistic spatiotemporal environmental templates with trait based eco-evolutionary implementation under an iterative procedure of model design, 322 323 evaluation and improvement, will advance our understanding and quantitative inference of the 324 processes underlying the LDG.

325

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#### **Box 1 (text)**

#### **Box 1. An LDG simulation model in action**

Any simulation model of the processes that result in patterns at the biogeographic scale [e.g. 336 52, 60] must incorporate several fundamental processes (Figure I.A). Hurlbert and Stegen [16, 337 25] provide one example of such an eco-evolutionary simulation model in an LDG context 338 (Figure I.B). In the model, species have different thermal optima (initially assigned randomly, 339 340 but subject to selection). The difference between a species' thermal optimum and the temperature of the region determines that species' local population size. Species may 341 experience implicit competition via a regional zero-sum constraint, and the probability of 342 speciation, dispersal, and extinction are each functions of regional population size. The 343 simulation results in spatial richness patterns, regional trait distributions, and a phylogenetic 344 345 tree (Figure I.C).

346

The simulation model was run under different parameter combinations that mimic distinct 347 LDG hypotheses ("Niche Conservatism", "Ecological Limits", "Diversification Rates"), and 348 the emergent geographic, trait and phylogenetic data were used to derive further metrics and 349 patterns that provide diagnostic support for each hypothesis (Figure I.D, only two patterns 350 351 shown). Simulated and observed patterns were compared informally. This study demonstrated the utility of comparing expectations for multiple hypotheses, confirming that many patterns 352 353 like the diversity gradient itself and measures of phylogenetic tree imbalance were shared across hypotheses. Conversely, patterns like the relationship between speciation rate and 354 latitude or mean root distance and richness were potentially diagnostic of the processes that 355 356 generated them [16].

357

While exemplifying many of the desirable properties of a mechanistic model for the LDG,
there are several ways in which the model in Hurlbert and Stegen [16, 25] could be improved.

First, the geographic representation of the model was a simple one-dimensional spatial 360 gradient with no long-term climate dynamics. Second, the model has no means of 361 representing a trophic niche in particular, or niche specialization in general, both of which are 362 invoked by various LDG hypotheses (Table 1). Third, speciation is modeled as a point 363 mutation process which may impact simulated phylogenetic patterns (Box 2). Finally, to make 364 more quantitative inferences about the support for the respective hypotheses, a formal 365 366 statistical parameter estimation, and model selection would be desirable [53, 61, 62]. We discuss methods of fitting empirical patterns to simulations in Box 3. 367

368

#### 369 **Box 2 (text)**

#### **Box 2: Modeling decisions: the example of speciation**

371 Modeling each of the components in Box 1, Figure IA requires a wealth of implementation decisions. These decisions may have consequences for how well a given hypothesis is 372 represented, and what types of patterns emerge. As an example, we consider the case of 373 speciation, which can be modeled with varying degrees of complexity [63], from a purely 374 375 phenomenological approach to more complex allele-based models. For example, spatial 376 processes combined with drift may induce speciation through Dobzhansky-Müller Incompatibilities [64] while abiotic and ecological factors can induce disruptive selection and 377 378 speciation both in allopatry [e.g. 41, 65] or sympatry [e.g. 66, 67]. For complete divergence 379 and the formation of proper (biological) species, mechanisms for reproductive isolation, 380 including sexual selection and assortative mating, also adds to the complexity.

381

382 After making decisions about what speciation mode to model (e.g. sympatric versus

allopatric), modelers face a range of implementation choices from purely phenomenological

models of point mutation speciation as in Box 1 to more mechanistic models, where species

diversification emerges from evolved trait divergence [48, 68-70], or the accumulation of
genetic differences that arise as a function of vicariant events or divergent selection (Figure I).
These implementation decisions can impact emergent phylogenetic patterns. For example,
Davies et al [42] showed that measures of tree imbalance and branch stemminess were
sensitive to whether speciation occurred via point mutation or various types of range fission.

391 More generally, to draw a reliable inference, researchers should assess their possible 392 implementation options and evaluate the sensitivity of the patterns of interest to these choices. Some patterns will inevitably be more sensitive to implementation decisions than the others. 393 For example, the topology of a phylogeny captures the relative branching pattern but is 394 agnostic about branch lengths, and so topology may be less sensitive than branch length-based 395 metrics to decisions that affect the timing and rate of speciation events. When attempting to 396 397 infer process from empirical data, patterns sensitive to those implementation decisions should either be disregarded, or the implementation decision itself can be included as alternative 398 399 submodels that are then inferred by data. A more general discussion of fitting models to data 400 is provided in Box 3.

401

402

#### 403 **Box 3 (text)**

#### 404 Box 3. Inference

Possibly the most crucial step in using mechanistic eco-evolutionary models for inference
about the origin of the LDG is the way we confront them to data, for example, to compare
alternative parameterizations and model structures. Starting from a set of alternative model
formulations (Box 1, Figure I.A), we can compare the patterns produced by the model
alternatives to observed patterns (Box 1, Figure I.B). The alternative model formulations may

410 correspond to particular hypotheses, as shown in the figure, or to different parts of parameter
411 space independent of existing hypotheses. The fit to the different patterns can be combined
412 and weighted, or assessed independently, to identify the support for the different alternatives,
413 or specific model inadequacies that need to be addressed (Box 1, Figure I.C).

414

In detail, however, there are various challenges to achieve correct inference. How to weigh 415 the different patterns, and account for their uncertainty, is one of them [71, 72]. Another 416 417 challenge is how to deal with uncertainties in parameters and subprocesses. As most model parameters cannot be measured directly, any model comparison has to account for their 418 uncertainty, such that the support assigned to any of the model alternatives is not contingent 419 on arbitrary parameter choices. One possibility would be to test whether output patterns are 420 dependent on model parameters and only use patterns that are independent for inference about 421 422 the model alternatives. However, that would likely severely reduce the number of patterns that can be used for inference. A better, albeit computationally more expensive alternative, is to 423 424 use techniques of inverse modeling to calibrate each model alternative [e.g. 56], and then 425 compare their support using established statistical model selection methods that account for parameter uncertainty (e.g. the Bayes factor; Figure I). This more complete approach to model 426 comparison is also the preferred solution in other research fields dealing with comparable 427 problems (large complex system, no replicate observation), such as cosmological models of 428 the early Universe [73]. Another solution would be to avoid the model selection problem 429 altogether, and instead phrase the inferential problem as a problem of parameter inference for 430 a "supermodel" that includes all the possible pathways (i.e. model alternatives) and processes 431 leading to the LDG, and through which we estimate relative strength of each pathway, instead 432 433 of testing fixed hypotheses.

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| 436 | Glossary | Box |
|-----|----------|-----|
|-----|----------|-----|

Approximate Bayesian Computation (ABC): a simulation-based approach to create 437 approximate likelihoods for model selection and parameter estimation of complex models. 438 possibly with multiple data sources. 439 440 Diversification rate: the net rate of production of new lineages, i.e. the difference between 441 442 origination and extinction rate. It usually applies to species (i.e. speciation minus extinction rate) but can be equally applied to higher or lower taxonomic levels. 443 444 Ecological limits: a limit to the number of individuals and/or taxa that can coexist within an 445 ecosystem due to abiotic settings and biotic interactions such as competition for limited 446 447 resources. 448 Ecological processes: interactions between individuals of the same or different species 449 450 driving the dynamics of populations, communities and ecosystems within an ecological timescale, typically within a few generations of the focal organisms. 451 452 453 Eco-evolutionary processes: the interplay of ecological and evolutionary processes that violate the assumption that timescales of ecological and evolutionary processes can be 454 separated; ecological processes affect evolution and vice versa. 455 456 Environmental filtering: the differential establishment, persistence, or performance of a 457 species determined by that species' ability to tolerate a given set of abiotic conditions. 458 459

| 460 | Evolutionary processes: any processes leading to genetic changes in populations driving       |
|-----|---|
| 461 | lineage divergence and persistence within an evolutionary time scale, typically spanning      |
| 462 | many generations.   |
| 463 |   |
| 464 | Mechanisms: a system of causally interacting parts or sub-processes (e.g. ecological          |
| 465 | interactions) that constitute some process (e.g. eco-evolutionary process).                   |
| 466 |   |
| 467 | Mechanistic macroecology: The study of mechanisms describing how individual organisms         |
| 468 | interact with their biotic and abiotic environments, and how these mechanisms scale up to     |
| 469 | result in macroecological patterns, including the LDG and other secondary biodiversity        |
| 470 | patterns.   |
| 471 |   |
| 472 | Mechanistic model: Mechanistic models may vary in complexity and detail, but in the           |
| 473 | context of the LDG, such a model should at a minimum specify the mechanisms by which the      |
| 474 | processes of selection, dispersal, ecological drift, and speciation operate on individuals,   |
| 475 | populations, or species.  |
| 476 |   |
| 477 | Niche conservatism: the tendency for descendant lineages or species to retain their ancestral |
| 478 | niche.  |
| 479 |   |
| 480 | Pattern-oriented modeling: a modeling approach where multiple patterns observed in real       |
| 481 | systems at different hierarchical levels and scales are used systematically to optimize model |
| 482 | complexity and to reduce uncertainty.   |
| 483 |   |
| 484 | Secondary biodiversity patterns: spatial, temporal, phylogenetic or trait-based diversity     |
| 485 | patterns that emerge from the same ecological and evolutionary processes as the LDG.          |

- **Simulation model**: a set of rules (usually formulated in a programming language) governing
- the dynamics of artificial entities that reflect individuals, populations, or communities.

Table 1. Overview of the main hypotheses proposed for explaining the LDG in recent reviews, categorized by the drivers, assumptions, and rationales they invoke. These hypotheses can be classified according to three categories: ecological limits, diversification rates, and time for species accumulation. Some hypotheses invoke multiple distinctive (but not mutually exclusive) mechanisms and so are repeated in multiple categories. We also distinguish the primary cause of the diversity difference between tropical (t) and non-tropical (n) regions (as parameter indices) from secondary causes that may be explicitly or implicitly stated in the hypothesis. Subfigures illustrate the three main hypotheses categories that predict the LDG (parameters: K, carrying capacity or limit on the number of individuals or species;  $\lambda$ , speciation rate;  $\mu$ , extinction rate; c,

495 colonization rate; t, time).

| Hypothesis   | Drivers                             | Assumptions   | Rationale  | Parameters   | Hypothesis category |
|--|-------------------------------------|---|--|--|---------------------|
| Area: carrying<br>capacity [74, 75]                | Geographic area                     | Zero-sum dynamics at  | Larger area or total resource amount<br>in the tropics can support more                        |  | Ecological limits   |
| More individuals:<br>carrying capacity<br>[24, 25] | Total amount of resources or energy | equilibrium due to competition  | individuals, determining the<br>maximum number of viable<br>populations and therefore species. | Primary: K <sub>t</sub> > K <sub>n</sub>             |                     |
| More<br>specialization<br>[76, 77]                 | Resource<br>availability            | Zero-sum dynamics at<br>equilibrium due to<br>competition             | Larger niche space in the tropics can be partitioned more finely.                              | Secondary:<br>$\lambda_t > \lambda_n, \mu_t < \mu_n$ |                     |
| Seasonality:<br>coexistence [29,<br>30]            | Seasonality                         | Zero-sum dynamics at<br>equilibrium due to<br>competition; trade-offs | Higher specialization in the more stable tropics allows greater niche packing.                 | -  |                     |

| Climatic stability:<br>equilibrium [26,<br>40]  | Environmental<br>stability (decadal<br>to millennial)      | between generalists<br>and specialists     | •  |   | ·   |  |                      |                       |
|---|--|--|--|---|---|--|----------------------|-----------------------|
| Temperature-<br>dependent<br>speciation [9, 33] | Temperature  | No assumption about<br>equilibrium         | Higher temperature in the tropics<br>increases metabolic rates and<br>mutation rates, and decreases<br>generation times.                   | ·   |   |  | Diversification rate | Diversification rates |
| More individuals:<br>more mutations<br>[75, 78] | Geographic area<br>and resource<br>availability            | No assumption about<br>equilibrium         | Higher resource availability in the<br>tropics supports higher population<br>density and hence more total<br>mutations per unit time.      | _<br>_ Primary: λ <sub>t</sub> > λ <sub>n</sub>         |   |  |                      |                       |
| Seasonality:<br>speciation [27,<br>28]          | Seasonality and topographic relief                         | No assumption about<br>equilibrium         | Mountain passes are "higher" in the<br>less seasonal tropics leading to<br>greater isolation and allopatric<br>speciation.                 | <u>- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1</u>          | $\begin{array}{c} \lambda_{n} \\ c_{t-n} \end{array} \end{array}$ |  |                      |                       |
| Biotic<br>interactions [79,<br>80]              | Resource<br>availability and<br>environmental<br>stability | No assumption about<br>equilibrium         | Stronger biotic interactions (e.g.<br>competition and predation) among<br>species in the more stable tropics<br>increase speciation rates. | -   |   |  |                      |                       |
| Area:<br>diversification<br>[75, 81]            | Geographic area  | No assumption about<br>equilibrium         | Larger tropical area increases<br>opportunity for allopatric speciation<br>and decreases stochastic extinction<br>risk.                    | Primary: $\lambda_t > \lambda_n$ ,<br>- $\mu_t < \mu_n$ |   |  |                      |                       |
| Dispersal<br>limitation [82]                    | Environmental<br>stability                                 | No assumption about equilibrium; trade-off | More stable tropical habitats<br>decrease stochastic extinction and<br>select for competitive ability over                                 | - μι · μη   |   |  |                      |                       |

|  |   | between competitive<br>and dispersal ability  | dispersal ability, resulting in decreased gene flow.   |   |                                  |
|--|---|---|--|---|----------------------------------|
| Climatic stability:<br>non-equilibrium<br>[26, 40] | Environmental<br>stability (millennia<br>to millions of<br>years) | Equilibrium not<br>reached in unstable<br>environments; low<br>rates of adaptive niche<br>evolution | Frequent environmental changes<br>(timescale: Pleistocene glacial cycles)<br>cause extinctions, range contractions<br>and makes gradual speciation less<br>likely. | $\begin{aligned} & \text{Primary: } \lambda_t > \lambda_n, \\ & \mu_t < \mu_n \text{ , high } c_{n-t} \\ & \text{Secondary: } t_t > \\ & t_n \end{aligned}$ |                                  |
| Time for<br>speciation [83,<br>84]                 | Evolutionary time<br>since colonization<br>or origin of clades    | Longer past<br>persistence of tropical<br>environments  | Older tropical environments allow<br>more time for more clades to<br>originate or colonize.  | Primary: $t_t > t_n$<br>Secondary: $\lambda_t > \lambda_n$  | Time for species<br>accumulation |
| Niche<br>conservatism<br>[36, 37]                  | Evolutionary time<br>since colonization<br>or origin of clades    | Mostly tropical origin<br>of clades;<br>phylogenetically<br>conserved niches                        | Climatic preferences and<br>phylogenetic niche conservatism<br>limit dispersal out of the region of<br>clade origin.   | Primary: $t_t > t_n$ ,<br>low $c_{t-n}$<br>Secondary: $\lambda_t > \lambda_n$   |                                  |

#### 500 Figure legends

#### 501 Figure 1 (in main text)

**Key processes across levels of biological organization.** Illustration of our framework 502 503 spanning external drivers that are associated with the spatiotemporal environment, the ecoevolutionary processes that are thought to be central to the LDG, and the emergent diagnostic 504 patterns. We consider four key eco-evolutionary processes: selection, dispersal, ecological 505 506 drift (eventually resulting in extinction), and speciation [17]; they are shown relative to 507 spatial, temporal, and biological scales (e.g. local vs. regional, population-level vs. continentlevel). Five example hypotheses from the three categories of LDG hypotheses are mapped 508 onto this framework with their specific drivers, processes and emergent patterns (hypothesis 509 names and categories as in Table 1). For each hypothesis, we show only the primary driver-510 511 process pathway identified in Table 1 (see main text for more detailed explanation of the mapped examples). 512

513

#### 514 Figure I (in Box 1)

An example of an eco-evolutionary simulation model in an LDG context. A) Process 515 classes suggested for any eco-evolutionary model of the LDG. Text inside each wedge 516 517 describes how the process was modeled in [25] and [16]. B) A flowchart outlining the processes in [25] and [16], with model components colored as in (A). (C) Example 518 519 simulation output displaying species richness along a spatial gradient, the distribution of species' thermal trait optima at three locations along the spatial gradient (dotted vertical lines 520 indicate the actual temperature in those regions), and a phylogeny with branch color denoting 521 speciation rate estimates (from low blue to high red values) from BAMM [85]. (D) Diagnostic 522 model outputs for three different LDG hypotheses. NC - niche conservatism; EL - ecological 523 limits; DR - diversification rates (see Table 1 for details). Patterns shown are: upper panel, 524 525 temporal variation of the correlation between species richness in a region and time since the

region was colonized (simulations with tropical clade origin in red, temperate clade origin in
blue); lower panel, phylogenies color-coded by instantaneous speciation rate as in (C).

528

529 Figure I (in Box 2)

#### 530 Decisions about the implementation of speciation processes in mechanistic models.

531 Examples of speciation models are schematically illustrated. The choice of model

implementation may impact the pattern of interest. In this hypothetical example, allopatric

and sympatric speciation result in different tree topologies, but the specific implementation of

either speciation mode may additionally impact branch lengths.

535

#### 536 Figure I (in Box 3)

Inferential cycle. (A) Theory about eco-evolutionary processes combined with data is used to 537 538 build a model that can generate the observed patterns and determine its a priori support (green bars) for different combinations of eco-evolutionary processes. The models may be designed 539 540 to explicitly test support for hypotheses listed in Table 1 (NC=niche conservatism, 541 EL=ecological limits, DR=diversification rate) or some other combination of processes; (B) the competing models are parameterized and their predictions are compared to empirical data, 542 quantifying the support lent by the model predictions for each hypothesis (pink bars) or 543 parameter combination and providing specific information on missing or misspecified 544 processes to be improved in further inference cycles; (C) the model can then be used for 545 inference and prediction. The updated posterior support (blue bars) informs on the plausibility 546 547 of inference given prior and empirical support and can be used as a prior in a subsequent iteration of the approach with a modified model structure and/or different input data. 548 549

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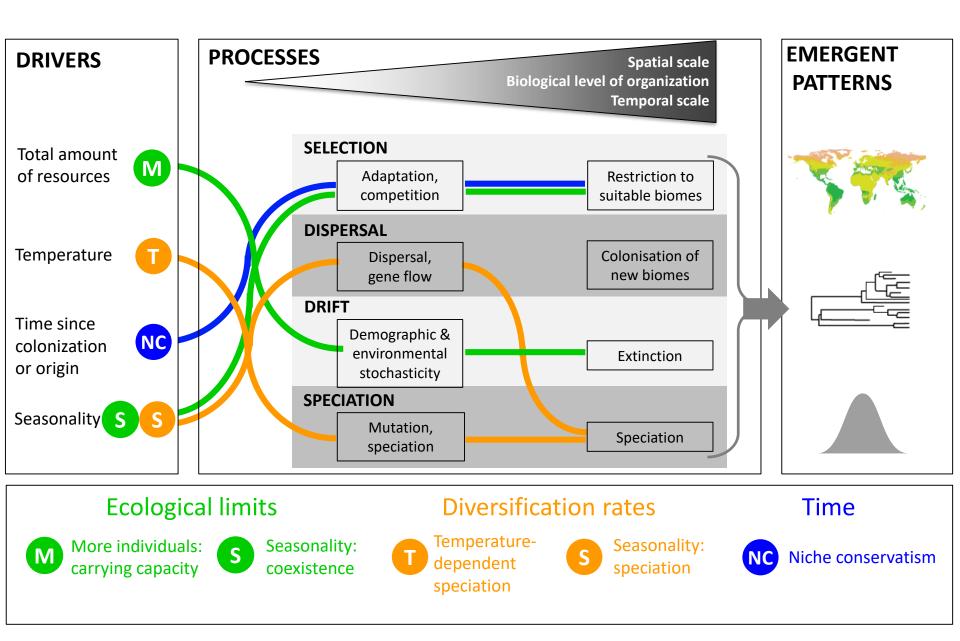
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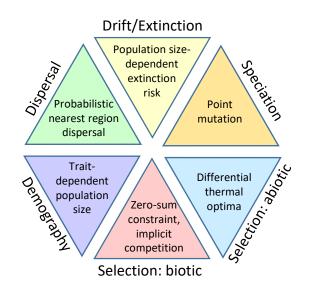
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Figure 1 (main text)

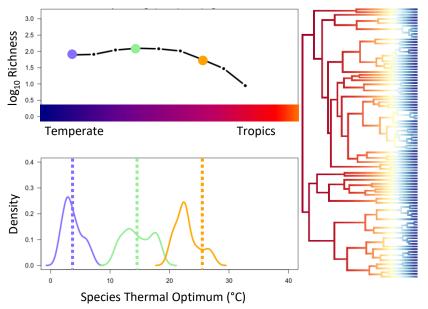


#### Figure I (in Box 1)

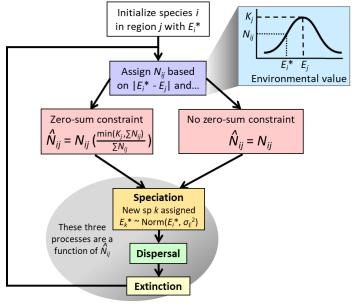
# A) Basic processes



# C) Example simulation output



# B) Model details



# D) Diagnostic patterns

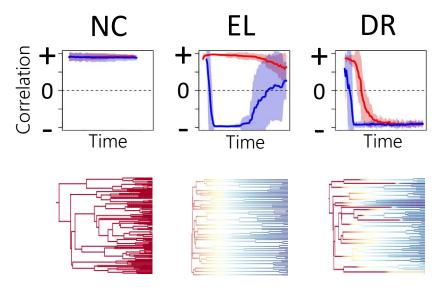


Figure I (in Box 2)

