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Analysis of the Seismic Activity Associated with the 2010 Eruption of Merapi Volcano, Java

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- 5 Agus Budi-Santoso^{1,2}, Philippe Lesage², Sapari Dwiyono¹, Sri Sumarti¹, Subandriyo¹,
- 6 Surono¹, Philippe Jousset^{4,5}, Jean-Philippe Metaxian².

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- 9 Badan Geologi, Jalan Diponegoro No. 57, 40122 Bandung, Indonesia (agusbudisantoso@yahoo.com),
- 10 (s4par1@yahoo.co.id), (merapi bpptk@yahoo.com), (jsubandriyo@gmail.com), (surono@ysi.esdm.go.id). +62 22 727 2606
- 11 ²ISTerre, CNRS, Université de Savoie, IRD 219 73376 Le Bourget du Lac cedex, France. (<u>lesage@univ-savoie.fr</u>),
- 12 (jean-philippe.metaxian@ird.fr).
- ⁴BRGM, RIS, 3 Avenue Claude Guillemin, BP36009, 45060 Orléans Cedex 2, France
- Now at Helmholtz Center GFZ, Telegrafenberg, 14473 Potsdam, Germany (pjousset@gfz-potsdam.de) +49 30 288 1299

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- 17 Merapi Volcano, Volcano Seismology, Eruption Forecasting, Pre-eruptive Seismicity,
- 18 RSAM, Material Failure Forecast Method, Source Location.

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Abstract

- The 2010 large explosive eruption of Merapi is the first episode of this type that has been
- 23 instrumentally observed on this volcano. The main features of the seismic activity during the
- pre-eruptive period and the crisis are presented in this paper. The first seismic precursors were
- a series of four shallow swarms 12 to 4 months before the eruption. They are interpreted as
- resulting from perturbations of the hydrothermal system by increasing heat flow. The
- 27 precursory seismic activity strictly speaking started about 6 weeks before the explosion of
- October 26th. During this period, the rate of seismicity increased almost constantly yielding a
- 29 cumulative seismic energy release for volcano-tectonic (VT) and multiphase events (MP) of
- 30 7.5 10¹⁰ J. This value is 3 times the maximum energy release before former effusive eruptions
- of Merapi. The high level reached and the accelerated behaviour of both the deformations of
- 32 the summit and the seismic activity are distinct features of the 2010 eruption with respect to
- 33 previous events.
- 34 The hypocenters of VT events are split into two clusters with depths (below the summit) of
- 35 [2.5-5] km and less than 1.5 km, respectively. The aseismic zone at [1.5-2.5] km depth is a
- 36 robust feature that was already detected in previous studies. This could correspond to a poorly
- 37 consolidated layer which is part of the 'Ancient Merapi' structure. Most of deep VT events
- occurred before October 17th. After that, shallow activity strongly increased. This migration
- of the seismic sources is consistent with the final stage of a rapid magma ascent before the
- 40 eruption. The deep seismic activity is interpreted as associated with the failure and
- 41 enlargement of a narrow conduit by a large amount of rapidly ascending magma, while the
- shallow seismicity could be related to the rupture of the summit plug.

- 43 Hindsight forecastings of the occurrence time of the eruption are performed by applying the
- 44 Materials Failure Forecast Method (FFM). They use cumulative RSAM calculated either on
- 45 the raw records or on signals classified according to their dominant frequency. Stable
- 46 estimations are obtained during the last 6 days with fluctuations as small as \pm 4 hours around
- 47 the time of the first explosion. This approach could thus be useful to support decision making
- in the case of future explosive episodes at Merapi assuming that similar precursory processes
- 49 will occur.

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1. Introduction

- Merapi is located on Java Island, at about 30 km north of the city of Yogyakarta. It is
- considered to be one of the most dangerous volcanoes of Indonesia because of its densely
- 54 populated surroundings and its high level of eruptive activity. The recent history of Merapi
- (Voight et al., 2000) is characterized by two eruptive styles: 1) effusive growth of viscous
- lava domes, with typical recurrence of 4 to 6 years, that gravitationally collapse producing
- 57 pyroclastic flows known as « Merapi-type nuées ardentes »; 2) more exceptional explosive
- eruptions of relatively large size, associated with column collapse and pyroclastic flows
- reaching large distances. The October November 2010 eruption is the first explosive type
- event of Merapi (VEI ~4) that has been recorded by a multiparametric monitoring network
- and that was not preceded by emergence of lava dome. Previous instrumentally observed
- 62 eruptions, in 1984, 1986, 1992, 1994, 1997, 1998, 2001, and 2006 (VEI = 1 3) were
- common lava extrusions followed by dome collapses. This situation offers a unique
- opportunity to compare the seismic activity associated with the two types of eruption and to
- look for precursory evidences of a transition between effusive and explosive styles.
- 66 As for most volcanoes in the world, the seismic activity of Merapi is characterized by a large
- variety of events that correspond to different locations and physical processes of the sources.
- Since 1984, the classification of events at Merapi includes the following types: deep (VTA)
- and shallow (VTB) volcano-tectonic, multiphase (MP), low frequency (LF), very long period
- 70 (VLP) events, tremor and rock fall (Ratdomopurbo and Poupinet, 2000). Hypocenter
- 71 distributions of VT events display an aseismic zone at 1.5-2.5 km depth (Ratdomopurbo and
- Poupinet, 2000; Wassermann and Ohrnberger, 2001; Hidayati et al., 2008) that has been
- 73 interpreted as a ductile high-temperature zone.
- Fruptions at Merapi are generally preceded by VT and MP seismicity on varying time scales
- 75 from weeks to months (Ratdomopurbo and Poupinet, 2000; Voight et al., 2000; Suharna et
- 76 al., 2007). However, some eruptions were not preceded by seismicity increase such as in 1986
- and 1994. These later events are interpreted to be gravitational collapses of the dome. In 1991,
- about 25% of the shallow VT events belonged to seismic multiplets. These families of events
- 79 with similar waveforms correspond either to sources very close to each other with identical
- 80 focal mechanisms or to non-destructive and repetitive sources. Ratdomopurbo and Poupinet
- 81 (1995) analyzed multiplets during 1992 by using a cross-spectral method on the coda waves.
- 82 They detected an increase of the seismic velocity of 1.2 % inside the volcano that may be
- related to the pressurization of the magma feeding system. Using records of a repeatable
- 84 controlled source, Wegler et al. (2006) also observed an increase of the shear velocity before
- the 1998 eruption.
- 86 The velocity structure of Merapi is still poorly known. Active experiments using air gun shots
- in water basins have been carried out to investigate this structure (Lühr et al., 1998; Wegler et

- 88 al., 1999). Because the direct P- and S-waves generated by superficial sources were rapidly
- 89 attenuated due to strong scattering by the heterogeneous medium, no velocity model could be
- 90 obtained by this approach. However, the spindle-like shape of the seismogram envelops could
- be explained by a diffusion model (Wegler and Lühr, 2001). Thus hypocenter determinations
- generally use a homogeneous model with P-wave velocity of 3 kms⁻¹ (Ratdomopurbo, 1995;
- 93 Hidayati et al., 2008) or 2.8 kms⁻¹ (Wassermann and Ohrnberger, 2001). At a larger scale, a
- tomographic study (Koulakov et al. 2007, 2009; Wagner et al. 2007) revealed an
- 95 exceptionally strong velocity anomaly in the crust between Merapi and Lawu (eastern of
- Merapi) volcanic groups, interpreted as a zone with high content of fluids and melts feeding
- 97 the active volcanoes in the area.
- 98 Focal mechanisms of VT events recorded in 2000-2001 have been estimated by Hidayati et al.
- 99 (2008) using both polarity and amplitude of P-wave first motions. For VTA and most deep
- VTB events, they are of normal-fault types while VTB located close to the surface are of both
- reverse and normal fault types. Hidayat *et al.* (2000; 2002) studied very-long period (VLP)
- events that occurred in 1998. These pulses with periods of 6-7 s and displaying similar
- waveforms from event to event are coeval with MP or LF earthquakes. Hidayat *et al.* (2002)
- carried out moment tensor inversion of the waveforms and proposed a source model
- consistent with a dipping crack located at about 100 m under the dome. They suggested a
- source process involving the sudden release of pressurized gas through the crack over a time
- span of about 6 s. No VLP events were observed during the active periods of 2001 and 2006,
- while a significant number of VLP events were observed in 2010 prior to and during the
- 109 eruption (Jousset et al., this issue).
- In this paper, we present some aspects of the seismic activity of Merapi in the year preceding
- and during the 2010 eruption. We give a description of the types of seismic events observed
- and a detailed chronology of the seismicity during this period. The spatial and temporal
- distribution of the VT earthquake hypocenters provides important information on the pre-
- eruptive processes in the structure. We apply the Material Failure Forecast method (Voight,
- 115 1988) to the RSAM values and test the potential of this approach to forecast the time of the
- eruption onset. The comparisons between the features of the 2010 seismicity and those of
- preceding eruptions give some clues to distinguish explosive from effusive impending
- 118 eruption.

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2. Seismic network

- 121 The monitoring system of Merapi, operated by BPPTK (Balai Penyelidikan dan
- 122 Pengembangan Teknologi Kegunungapian) Volcano Observatory of Yogyakarta which
- belongs to CVGHM (Centre of Volcanology and Geological Hazard Mitigation), is mainly
- based on seismic, deformation and geochemical measurements. The permanent seismic
- network consists of four short-period (SP) stations equipped with L4C and L22 seismometers.
- Signals are transmitted to Yogyakarta by radio with VHF modulation and are digitized by a
- Güralp DM16S acquisition system at a rate of 100 samples per second with 16 bits accuracy.
- SP stations have been used as reference stations in routine analysis, such as event
- classification and counting, source location, and seismic energy calculations. In addition up to
- six broadband (BB) stations using Güralp CMG-40TD seismometers with period 60 s and
- TCP/IP protocol for data transmission have been installed on July 2009 and February 2010.
- Both types of stations use GPS clocks for synchronization and Güralp Compressed Format

- 133 (GCF) for data file storage. Fig. 1 shows the configuration of the monitoring network; seismic
- stations are located on and around the volcano at distances to the crater ranging from 0 to 6
- 135 km.
- Some breakdowns in stations reduced the amount of available records during the pre-eruptive
- period. Fig. 2 summarizes the operation time intervals. Furthermore, the GPS clock of some
- broadband stations failed during several time intervals. In order to use arrival times from these
- stations for source location, a procedure of clock re-synchronization, based on seismic noise
- 140 correlation (Stehly et al., 2007; Sens-Schönfelder, 2008), was applied. The cross-correlation
- 141 function (CCF) of the noise recorded in two stations is directly related to the Green function
- between the two sites (e.g. Campillo, 2006). When the clock of one of the stations is drifted,
- the CCF is delayed by the same lag with respect to that obtained when both clocks are
- synchronized. Thus, by locking for the maximum of the correlation function between the
- shifted and the reference CCF, it is possible to estimate the delay and to synchronize the
- stations. An estimated precision of ~0.05 s is obtained with this approach which uses low-pass
- 147 (< 4 Hz) filtered signals (Fig. 3).

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3. Main features of the seismic events

- Since the installation of a telemetered network in 1982, the same classification of seismic
- signals has been used at Merapi for sake of consistency (Ratdomopurbo, 1995; Ratdomopurbo
- and Poupinet, 2000). The main types of signal are volcanotectonic (VT), multiphase (MP),
- low-frequency (LF), rockfall (RF), and tremor. VT events are characterized by clear onsets
- and high frequency content (up to 25 Hz). They are associated with brittle failure in the rock
- and have generally simple double-couple mechanism (McNutt, 1996). VT events are similar
- to common tectonic earthquakes. The main differences are that the former are related to
- volcanic activity and they frequently occur in swarms (McNutt, 2000).
- VT's at Merapi are sub-divided into deep (VTA) and shallow (VTB) events. VTA (Fig. 4a)
- are characterized by hypocenters at depth larger than 2 km below the summit, and clear P- and
- 160 S-wave arrivals. VTB events have depths smaller than 2 km and more emergent onsets at
- distant stations (Fig. 4b). For some events, S-waves are undistinguishable. VTA and VTB
- events can be recognized by differences amplitude ratios for the first arrivals between summit
- 163 (PUS) and flank (DEL) stations. Their differences in waveform and amplitude are probably
- related to a larger level of scattering and attenuation for paths in the shallow parts of the
- structure for VTB than for deeper paths for VTA (Wegler and Lühr, 2001).
- Multiphase earthquakes are characterized by emergent onsets, maximum frequency of 4 to 8
- Hz, and shallow depth (Fig. 4c). This type of signal is similar to hybrid events in other
- classification schemes (McNutt, 1996). They are related to magma flow in the upper conduit
- and dome growth (Ratdomopurbo and Poupinet, 2000). Their rate of occurrence is sometimes
- 170 correlated with summit deformations (Beauducel et al., 2000). Low-frequency earthquakes
- 171 (LF), also called long-period (LP) events, have generally emergent onset, lack of S-wave
- arrival, and dominant peak frequency in the range [1-3] Hz (Fig. 4d). They are thought to be
- generated by resonance of fluid-filled cavities in the structure produced by pressure
- perturbations (Chouet, 1996). However, due to the strong attenuation of the high-frequency
- waves, some events identified as LF at distant stations may be actually MP events (Hidayat et
- al., 2000). Very-Long-Period (VLP) events occurred at Merapi in 1998 (Hidayat et al, 2002)
- and 2010 (Jousset et al., this issue) but were not observed in 2001 and 2006. This type of

- signal corresponds generally to the low frequency component of a MP or VT event and it is
- interpreted as mass transfer of fluid inside the structure (Ohminato et al., 1998; Legrand et al.
- 180 2000; Chouet et al. 2005; Waite et al., 2008, Jolly et al., 2012).
- 181 Tremor consists of long-lasting vibrations that are also associated with resonance effects in
- cavities (Chouet B., 1988; Konstantinou and Schlindwein, 2002), fluid flow (Rust et al.
- 2008), or degassing (Lesage *et al.*, 2006). At Merapi they are relatively sparse, of low
- amplitude, and their spectra contain a few regularly spaced peaks, with fundamental
- frequency of [2-5] Hz (Fig. 5). They occurred more frequently in 2010 than before previous
- eruptions. Rockfalls (RF) are characterized by progressively increasing amplitude at the onset,
- long duration and high frequency content (5 to 20 Hz). Pyroclastic flows (PF; Fig. 6), usually
- generated by dome collapse, produce RF-type signals with fairly long duration (up to tens of
- minutes) and large enough amplitudes to be recorded at the farthest stations.

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4. Chronological description of the pre- and co-eruptive seismicity

- 192 This section summarizes the history of the seismic activity during the year preceding the
- eruption, with focus on the last few weeks and during the crisis. It mainly relies on routine
- manual counting and classification of events based on waveform shape. Daily statistics are
- thus made in local time (GMT+7). Seismic energy given below is calculated using the
- 196 Gutenberg-Richter equation:

$$\log E = 11.8 + 1.5M \tag{1}$$

- where M is the magnitude (Gutenberg and Richter, 1956) and E is in ergs. Magnitude of VT
- is calculated using local magnitude definition of Richter (1935, 1958). To minimize the
- influence of distance on the determination of magnitude of VTA and VTB, amplitudes
- measured at station DEL (2.6 km from summit) are used instead of that at the closest station
- 202 PUS (0.5 km) since DEL is at about the same distance to the clusters of VTA and VTB. On
- 202 1 Ob (0.5 km) since DEE is at about the same distance to the clasters of \$177 and \$175. On
- the other hand, since the MP events always occurs at shallow depth and have low amplitude at
- station DEL, PUS is used to calculate the magnitude (Ratdomopurbo, 1995). Adequate
- amplitude corrections are applied to each station in order to get consistent magnitude
- determinations. During inter-eruptive periods, the level of seismic activity is usually very low.
- For example, following the 2006 eruption, an average of 5 MP and less than one VT per day
- were registered. The total seismic energy (VT and MP) released per day was less than 0.4 10⁸
- J on average. The first evidence of unrest was four short duration (3 to 4 hours) VT swarms
- that occurred on October 31st (Fig. 7), December 6th, 2009, February 1st, and June 10th 2010.
- These swarms include a small number of detected events (14, 13, 6, and 30, respectively) with
- 212 maximum local magnitude of 2.5 and shallow depth (< 1 km). This kind of activity is
- 213 considered as an early precursor, as all the previous eruptions since al least 1992 were
- 214 preceded by a series of seismic swarms.
- In early September 2010, the level of seismicity began to increase, with about 10 MP and 3
- VT events per day and seismic energy released of 0.6 10⁸ J per day. On the 12th at 8:23 local
- 217 time, a VT earthquake with local magnitude M = 2.5 and depth of 3 km was felt in the three
- 218 northernmost observation posts (Fig. 1). The earthquake was followed by a large rockfall at
- 219 10:21. A similar VT event occurred on September 13th with magnitude of 2.4 and the same
- depth. As of September 19th, the rate of occurrence reached 38 MP, 5 VTA, and 6 VTB per

- day, with a total energy of 6×10^8 J and a maximum magnitude of 2.6 (Fig. 8). This increasing
- seismicity coincided with accelerating inflation of the summit, as revealed by repeated
- distance measurements (Surono et al., 2012). On the basis of these observations, the alert
- level was raised to II on September 20th, 2010 (Surono *et al.*, 2012).
- Harmonic tremors with weak amplitudes and durations of up to 70 minutes were detected
- from September 30th to October 4th at stations closest to the crater (Fig. 5). Spectrograms
- contain up to three regularly spaced peaks and display a phenomenon of frequency gliding
- 228 which corresponds to progressive decrease of the fundamental frequency, from about 5 to 3
- Hz, and of the overtones frequency accordingly. This phenomenon forms cycles of 17 minutes
- duration, approximately. During the intrusive phase, 1-26 October, more than 200 VLP events
- were recorded, mostly at the summit stations and up to ~3 km from the crater. They are
- characterized by frequency content in the range [0.01 0.2] Hz and they are coeval to VT,
- 233 MP, or LF events (Jousset *et al.* this issue).
- The seismic activity continued to increase in October together with deformation rate, gas
- emission, and changes in gas composition (Aisyah N. et al., 2010). The daily number of
- seismic events reached 56 VT on the 17th, 579 MP and a total energy of 51 10⁸ J on the 20th.
- An increasing number of rockfall also occurred with up to 85 events on the 20th (Fig. 8). The
- 238 alert level was raised to III on October 21st. On October 23rd 24th, a total of 27 LF events
- occurred with dominant frequency in the range [1.5 2.5] Hz. Some of them produced
- amplitude saturation at short period stations. The largest ones were recorded at all the stations
- and could be located at a few hundreds of meters beneath the summit. The level of seismicity
- dramatically raised on October 24th to 26th. On the 24th, the number of VT, MP, RF and the
- seismic energy were 80, 588, 194, and 59 10⁸ J, respectively. On the 25th, the corresponding
- values were 222, 624, 454, and 132 10⁸ J. The alert was raised to level IV (evacuation) on
- October 25th at 18:00 local time, 23 hours before the onset of the eruption. By the occurrence
- of the first eruption on October 26th, 2010 at 17:02 local time (10:02 UTC), 232 VT, 397 MP,
- 247 269 RF and 4 LF were counted with an energy of 197 10⁸ J.
- 248 The first phase of the eruption was phreato-magmatic explosive and produced a pyroclastic
- 249 flow that reached up to 5 km to the South (Surono et al., 2012). The duration of the
- corresponding seismic signal was 330 s. On October 27th, the seismicity decreased to 7 VT,
- 251 34 MP, 1 LF, and 109 RF. During the two following days, the daily number of events rose
- 252 again to 34 VT, 129 MP, 222 RF, 7 PF, and to 67 VT, 223 MP, 354 RF, 32 small PF,
- 253 respectively. The eruptive activity decreased afterward and only 4 PF were observed on
- October 31st. Meanwhile a burst of 22 LF events and a weak 13 minutes long episode of
- 255 tremor occurred this day.
- 256 High frequency tremor appeared on November 3rd in relation with more and more frequent
- 257 pyroclastic flows. At 11:00 local time, this tremor became continuous. At 16:05, authorities
- decided to enlarge the restricted zone to a radius of 15 km from the summit. At 18:46 a
- 259 pyroclastic flow reached a distance of 9 km destroying seismic station KLA. On November
- 260 4th and 5th, the SP seismograms were saturated and individual events were undistinguishable.
- However, by using low-pass filter (f < 0.1 Hz), it was possible to detect that the largest
- eruption took place on November 5th at 00:01 local time (Nov. 4th at 17:01 UTC Fig. 6).
- 263 This eruption lasted about 27 minutes, produced 15 km long pyroclastic flows, and destroyed
- 204 In Security in the case of the control of the c
- stations DEL and PUS and broadband stations at the summit of the volcano. The same day at
- 265 01:00 local time, the radius of the restricted zone was set at 20 km.

In complement to seismic features such as daily number of earthquakes and source location, 266 267 the cumulative energy of VT and MP events calculated over the preceding year has been used at BPPTK for estimating the current state of activity (Fig. 9). For eruptions before 2010, this energy ranged from 10^{10} J (10 GJ) in 1992 to 2.4 10^{10} J in 1997 and 2006. Thus, in practice, 268 269 special attention is paid to the monitoring observations when this energy reaches 10¹⁰ J. On October 16th, the cumulative energy was 2.4 10¹⁰ J and an eruption or a dome extrusion was 270 271 expected. However, the energy rate increased more rapidly instead with a maximum value of 272 0.62 10¹⁰ J per day on October 25th. Together with the accelerating huge local deformations 273 (displacement of up to 3 meters), the very high value reached by the energy was one of the 274 key elements that pointed a much larger eruption than usual, yielding timely decision of 275 276 evacuation. It is interesting to note that the cumulative energy for the 2010 eruption is lower 277 than all the others between days 270 and 325 (95 to 40 days before the eruption onset). This 278 indicates that, while seismic energy is progressively released during a long period before 279 effusive eruptions, in the case of an explosive crisis, most of the energy is produced in the last 280 few days or weeks.

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5. Source location

From the data base of seismic events of Merapi, beginning in October 2009, 679 events, recorded on 4 to 9 seismic stations could be located. In the present work, the Hypoellipse program (Lahr, 1999) was used with a homogeneous half space velocity model assuming $V_p = 3 \text{ km s}^{-1}$ and $V_p/V_S = 1.86$ (Ratdomopurbo and Poupinet, 2000). In order to estimate realistic uncertainties on hypocenter positions, an approach by Monte-Carlo simulation was applied. The observed arrival times were modified by random perturbations with Gaussian distribution and standard deviation of 0.1 s, and new hypocenter positions were obtained. This procedure was repeated 1000 times for each event. Outliers were removed by using the Thomson Tau method (Thomson, 1985). These outliers represent a small proportion of the whole set of solutions. The remaining solutions were used to calculate confidence ellipses for each event by carrying out principal component analysis (Jackson, 1988) on the covariance matrix of positions (Got *et al.*, 2011).

Figure 10 displays the results on source location. The histogram of the uncertainties on depth (Fig. 10e) shows that most of them are smaller than 0.5 km, with a maximum number close to 0.3 km. 19 events with uncertainty on depth larger than 1 km were removed before plotting the location map and cross-sections. Hypocenters are distributed at depth less than 5 km below the crater, in a cylinder with elliptical section of 2 km x 1 km approximately and longest axis in the NE-SW direction (Fig. 10a-c). The distribution in depth is split into two separated clusters. The deepest one (about 116 events) lies between 2.5 and 5 km below the summit. It contains VTA type events following the classification used at Merapi (see section 3). The shallowest cluster is constituted by VTB events with maximum depth of 1.5 km. Consequently, it appears that an aseismic zone does exist at depth of 1.5 to 2.5 km below the crater. This feature is also shown both by the histogram of the hypocenter depths and by the probability density function of the source depths which display clear minima at 1.5 - 2.5 km depth (Fig. 10d). In order to verify whether this gap is due to an artefact of the hypocenter determination, source depths are plotted as a function of differences of P-waves arrival times $(t_{DEL} - t_{PUS})$ between stations DEL (located 1.5 km below the summit) and PUS which is close to and 200m below the summit (Fig. 10f). Again, two clusters can be observed in this representation, separated mainly along the $(t_{DEL} - t_{PUS})$ axis. Values of $(t_{DEL} - t_{PUS})$ in the range $[\sim 0-0.25]$ s are associated with deep VTA events while time differences of 0.35 to 1 s

- 313 correspond to shallow VTB earthquakes. The relative lack of values between 0.25 and 0.35 s
- is a robust observation and is consistent with the existence of an assismic zone at 1.5 2.5 km
- 315 depth.
- The four seismic swarms that occurred from October 2009 to June 2010 were located at less
- than 1 km below the summit. They include thus mostly VTB events. In Fig 11, hypocenter
- depths are plotted as a function of time. The numbers of VTA and VTB per day are also
- 319 presented. It appears clearly that the VTA events occurred during the first part of the pre-
- eruptive period until around October 17th. After that, while VTA activity was vanishing, a
- sharp increase of the number of VTB events was observed until the eruption. Therefore, a
- migration of the seismic activity from deep to shallow part of the edifice seems to have
- occurred about 10 days before the eruption.

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6. RSAM and hindsight forecasting

- Real Time Seismic Amplitude Measurement (RSAM) is a robust tool for monitoring volcanic
- activity because it provides a simple indicator of the level of seismic energy released (Endo
- and Murray, 1991). At Merapi, real-time monitoring by RSAM was carried out during the
- 329 critical period of the eruptive crisis of 2010. For this, a module made by BPTTK calculated
- every 5 minutes the RSAM value from the discriminator output of station KLA (Fig. 1). It
- provided valuable information on the increasing seismic activity before the eruption and on
- the energy of eruptive events during the crisis which was of great help in managing the
- 333 situation (Fig. 8f).
- In order to carry out more detailed analysis, we recalculated RSAM from digital raw data of
- 335 station PUS as

$$RSAM = \frac{\sum_{i=1}^{n} \left| A_i - \overline{A} \right|}{n}$$
 (2)

- where A_i is the signal amplitude, \overline{A} the mean amplitude in the calculation window, and n the
- number of samples of the window. An initial window length of two minutes was used and, for
- long-term analysis, a mean value every two hours was calculated. Because the whole
- hardware of station PUS was replaced on April 2010 by new equipment with different
- sensitivity, an amplitude correction was applied for sake of consistency between data recorded
- before and after the change. Furthermore, because the tectonic earthquakes are not related to
- volcanic activity, they were removed from RSAM values thanks to the daily seismicity
- 344 counting catalogue and low pass filtering to identify their coda.
- 345 RSAM is calculated on the continuous record which includes signals of all types. In order to
- 346 get some more details and as an attempt to separate the contribution of different types of
- source, the following procedure was applied. For each 1-mn long window, a spectrum is
- 348 calculated and the frequency of its maximum is determined. Then the segment of record is
- classified according to this peak frequency among the following ranges: [0.01 1] Hz, [1-3]
- Hz, [3-5] Hz, [5-10] Hz and [1-15] Hz. Because a segment generally contains no more than
- one event, this classification of signals roughly corresponds to the different types of event
- defined in section 3. Range [1-15] Hz includes all the events but the noise is reduced. After

- 353 that, a cumulative value of RSAM is calculated for each frequency range. This procedure
- 354 gives different results than the Seismic Spectral Amplitude Measurement (SSAM, Stephens et
- al., 1994) which is the mean level of signal filtered in different frequency ranges. For 355
- 356 example, in the range [1-3] Hz which contains mostly LF events, it helps separating the
- 357 contribution of these low amplitude events that occurred a few days before the onset of the
- 358 eruption (Fig. 12).
- 359 Starting October 2009, the average value of RSAM is almost constant in spite of some small
- bursts of energy related to the seismic swarms. A slight increase of RSAM is first observed on 360
- September 12th 2010, followed by an accelerating release of energy until October 6th. This 361
- day, a marked decrease of RSAM was observed. This behaviour appears clearly on the 362
- 363 smoother curve of cumulative values which displays a discontinuity of its slope on October 6th
- (Fig. 13). After that, RSAM presents again an accelerating behaviour till the first eruption on 364
- 365 October 26th. Other accelerating phases are observed before the eruptions of October 29th and
- November 3rd. The maximum values of RSAM provide also qualitative indications on the 366
- relative amplitude of the different stages of the eruptive sequence. The first eruption of 367
- October 26th is associated with a maximum RSAM value of 3.7 10⁵ arbitrary unit (A.U). 368
- 369 However, since the onset of eruption many seismic signals are saturated. Thus the RSAM
- 370 associated with the eruption phases is under estimated. The following eruptions until
- November 2nd produce smaller maxima. RSAM peaks at 5.7 10⁵ A.U on November 3rd and then reaches its highest values, 6.7 10⁵ A.U., on November 4th, when the station was 371
- 372
- destroyed. The RSAM in the bands [3-5] and [5-10] Hz displays similar behaviour before the 373
- 374 onset of the eruption. After that, RSAM in the band [3-5 Hz] displays a relative decrease in
- 375 comparison with the other frequency bands. As the former range contains mostly VT events,
- 376 this observation suggests that the fraction of energy released by brittle fracture is lower after
- 377 the eruption onset. This is consistent with a condition of open conduit.
- Accelerated rates of seismicity have been observed over different time scales before many 378
- 379 eruptions (see e.g. Tokarev, 1971; Voight, 1988; Cornelius and Voight, 1994; Kilburn and
- 380 Voight, 1998; De la Cruz-Reyna and Reyes-Davila, 2001; Kilburn, 2003; Smith et al., 2007;
- 381 Arambula et al., 2011; Traversa et al., 2011). This behaviour is at the basis of the Material
- 382 Failure Forecast Method (FFM) that has been widely used for estimating the time of an
- 383 eruption (Voight, 1988; Cornelius and Voight, 1994, 1995; De la Cruz-Reyna and Reyes-
- 384 Davila, 2001). First introduced for the study of landslides (e.g. Fukuzono and Terashima,
- 385 1985), the FFM assumes that a pre-eruptive stage is analogous to a damaging or creep process
- 386 before the failure of the material. An observable Ω related to this process, such as
- 387 displacement, strain, or level of seismic activity, is governed by an empirical power law
- between its rate of change $\dot{\Omega}$ and acceleration $\ddot{\Omega}$: 388

$$\ddot{\Omega} = A \, \dot{\Omega}^{\alpha} \tag{3}$$

- 390 where A and α are constants that can be estimated from the observations (Cornelius and
- Voight, 1995). α is found to lie between 1 and 2, generally closer to 2 (Voight, 1988). For α = 391
- 392 2, equation 3 can be solved by integration yielding:

393
$$\Omega = \frac{-1}{A} \ln \left[\frac{A(t^* - t) + (\dot{\Omega}^*)^{-1}}{A(t^* - t_0) + (\dot{\Omega}^*)^{-1}} \right] + \Omega_0 = B \ln(1 + st) + C \tag{4}$$

- (Cornelius and Voight, 1995), where $\Omega(t = t_0) = \Omega_0$ and $\dot{\Omega}(t = t^*) = \dot{\Omega}^*$. B and C are constants
- and C can be chosen null. $s = -1/t_f$, with t_f the predicted time of failure or eruption which
- 396 corresponds to Ω infinite. The time of failure t_f can be used as an estimation of the time of the
- 397 eruption onset. However there can be a time delay between them (Voight, 1988; Bell et al.,
- 398 2011a)
- 399 Exercises of hindsight prediction of the eruption time were carried out by fitting a function
- 400 given by Eq. 4 to the observed cumulative values of RSAM. Note that RSAM is
- approximately proportional to the seismic moment-rate and energy-rate and thus can be used
- 402 as $\dot{\Omega}$ (Cornelius and Voight, 1995). Thereby cumulative values of RSAM can be modelled by
- function Ω in eq. 4. For each trial, constants B, s, and t_f are estimated by least squares fitting.
- In this task, a crucial issue is the choice of the time window used to fit the model to the data.
- 405 As described in section 4, a first clear increase of the seismicity was observed on September
- 406 12th. Then a sudden decrease of the slope of the cumulative RSAM occurred on October 6th
- followed by another acceleration stage until October 26th. A first trial was made with a fitting
- window from September 13th to October 5th (Fig. 14). For this interval the adjustment is
- excellent (correlation coefficient of 99.9%) and the predicted failure time is on October 26th at
- 410 07:00, 3 hours before the eruption onset. However a clear departure between the theoretical
- and observed curves appears after October 6th. Another trial was thus made with a fitting
- window starting on October 7th and ending on the 25th (Fig. 14). The predicted time is
- October 26th at 19:00 (time lag of 9 hours) and again, the correlation coefficient in this
- interval is very close to one. These first results confirm that the FFM model used is suitable to
- explain the observations in the two time periods. However large modifications probably
- occurred in the volcanic system around October 6th and make it more difficult to apply the
- 417 method. More general solutions of eq. 3 were also considered, with $\alpha \neq 2$. In this case, α is an
- unknown parameter which is estimated together with t_f . In almost all these trials, the resulting
- 419 value of α is very close to 2.
- In order to test more in detail the robustness of the model as forecasting tool, a series of trials
- was carried out using different fitting time windows. In the following discussion, all dates are
- in October and t = 20 corresponds to October 20^{th} for example. The windows have starting
- time $t_{start} = 7$ and their ending times t_{end} varies up to 26. The observations are either
- 424 cumulative RSAM or cumulative RSAM calculated on signals classified by frequency range.
- The differences between the predicted time of failure t_f and the time t_{erupt} of the first eruption
- 426 (October 26th 10:02 UTC) are plotted as a function of t_{end} in Fig 15.
- For $t_{end} < 13$, the predicted time t_f is erratic and cannot be used. However, for $t_{end} > 13$, t_f
- varies smoothly as a function of t_{end} , displays variations between -5 and + 1.5 days around
- 429 t_{erupt} and then converges toward t_{erupt} for $t_{end} > 20$ (Fig. 15a). Similar results are obtained with
- 430 $t_{start} = 6 \text{ or } 8.$
- The use of RSAM calculated on signals classified following their dominant frequency yields
- similar results and seems to improve the precision of the prediction. For $t_{end} > 20$, $t_f t_{erupt}$ is
- positive and smaller than 0.5 and 0.7 days, for ranges [0.01-1] and [1-15] Hz, respectively
- 434 (Fig. 15b&e). For the band [5-10] Hz, $t_f t_{erupt}$ is negative and tends to zero for increasing t_{end}
- 435 (Fig. 15d). In the range [3-5] Hz, the estimated time of failure varies in the interval
- 436 $t_f = t_{error} \pm 4$ hours during the last 6 days before the first eruption (Fig. 15c).

- Because the deformation displayed acceleration behaviour before the eruption, the same FFM
- approach can be applied. In this case, observations are the variation of the slope distance
- between Kaliurang observatory and a reflector located on the southern part of the summit
- 440 (Fig. 1). Measurements were carried out by EDM (Electronics Distance Measurement) almost
- every day. The adjustment between these observations and function Ω given by eq. 4 is not as
- good as that obtained for RSAM (Fig. 16) Moreover, for the deformations, the estimated
- values of t_f increase monotonically and tends toward the time of eruption onset for t_{end} close to
- 444 t_{erupt} (Fig. 15f).

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7. Discussion

- The seismic activity of Merapi during the pre-eruptive period and the eruption of 2010
- presents features commonly observed during previous eruptions and some characteristics that
- had never been recorded before, such as its high level of energy release. The types of event
- 450 identified in 2010 are similar to those observed since seismic stations were installed on the
- volcano. Although empirically designed from waveform observations, the event classification
- reflects the diversity of physical processes and locations of seismic sources. The two types of
- volcano-tectonic event, VTA and VTB, correspond to two depth ranges for their hypocenters.
- They are easily distinguished by different amplitude patterns in the seismic network and by
- distinct differences of P-wave arrival times between stations, while it is difficult to recognize
- 456 them with only one station. The most numerous events are multi-phase, as hundreds of MP
- signals were counted daily before and during the eruption (Fig. 6). They are interpreted as
- 458 fragile ruptures that trigger resonance response of an adjacent magma-filled conduit or crack.
- They are mainly observed accompanying magma extrusions or in association with dome
- instabilities. However MP events can also occur during periods of quiescence. Their origins
- and source mechanisms are thus still not well-known and require further studies including
- 462 precise hypocenter determinations.
- 463 Unlike many other volcanoes, low-frequency (LF or LP) events and tremor are relatively
- scarce on Merapi. Most of the mechanisms that have been proposed to explain these kinds of
- event involve fluids interacting with the surrounding medium (Chouet, 1996). In the case of
- 466 Merapi, LF events mainly occurred at shallow depths after the first phreatomagmatic
- explosions (Jousset *et al.*, this issue). They probably result from the interaction of the
- intrusive magma body with the hydrothermal system that lies beneath the summit (Müller and
- Haak, 2004). The few harmonic tremors detected during the pre-eruptive period are probably
- associated with the increasing gas emission. A possible mechanism for these vibrations is the
- 471 periodic opening and closing of a valve in a crack that produce intermittent pulses of gas with
- frequency stabilized by the resonance of the fluid-filled cavity in the same manner as in a
- clarinet (Lesage *et al.*, 2006). This process generates regularly spaced spectral peaks by the
- Dirac comb effect and is an efficient mechanism to radiate seismic waves (Rust et al., 2008).
- The frequency gliding displayed in spectrograms may result from variations of the wave
- velocity in the resonator due to varying content of two-phase fluid (such as gas bubbles in
- water or magma), or to changes in the cavity itself modifying its length or stiffness.
- 478 Hypocenter determination is a difficult task on volcanoes because of lack of clear phase
- arrivals, especially for MP, LF, and tremor events, sharp topography, limited knowledge of
- 480 the velocity structure, and, eventually, a too small number of stations. These drawbacks
- produce sometimes bad precision in source location, especially in depth, yielding fuzzy
- patterns of hypocenter distribution that are difficult to interpret. It is thus necessary to obtain
- reliable estimations of uncertainties on source positions. While the errors calculated by the

programs of hypocenter determination depend mainly on the consistency between the

observed arrival times, the Monte-Carlo approach gives more robust estimations as it takes

486 the geometry of seismic rays into account (Got et al., 2011). However, errors due to bad

knowledge of the structure are not included in this procedure. Following the Monte-Carlo

approach, the clouds of points obtained during a simulation provides an approximation of the

probability density function of the source position. Its maximum can be taken as the

490 hypocenter and its spread and shape reflect the precision of this determination. Nevertheless,

491 precise hypocenter determination for a larger proportion of earthquakes will require a

combination of a larger number of stations, with broader band and three-components

493 seismometers, seismic arrays, and better velocity models. Automatic data processing and

source location will be also very useful during the next crises.

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495 The aseismic zone that appears between 1.5 and 2.5 km depth is a robust feature of the 496 seismicity of Merapi. The present results confirm the findings of Ratdomopurbo and Poupinet 497 (2000), Wassermann and Ohrnberger (2001), and Hidayati et al. (2008), that were obtained 498 for seismic events recorded in 1991, 1998, and in 2000-2001, respectively, and show that it is 499 a permanent structure over at least 20 years. Ratdomopurbo and Poupinet (2000) postulated 500 that it could correspond to the presence of a more ductile zone related to a small shallow 501 magma reservoir. However, deformation measurements (Beauducel et al., 1999) and 502 electromagnetic data (Commer et al., 2006) are not consistent with such a shallow storage 503 zone. Alternatively, the aseismic zone could correspond to the part of the Ancient Merapi left by the Holocene sector collapses (Newhall et al., 2000). This layer is mainly composed of 504 505 auto-brecciated lava flows, St. Vincent-type pyroclastic flows and lahar deposits 506 (Berthommier et al., 1990). It is probably poorly consolidated and thus less seismogenic than 507 the surrounding layers. Indeed, it lies between the older structure of Pre-Merapi period and

the surrounding layers. Indeed, it lies between the older structure of Pre-Merapi period and the series of andesitic lava flows and pyroclastic flows of the Middle and Recent Periods (Camus et al., 2000).

510 The first seismic observation of unrest of the volcano was a series of swarms of shallow VT 511 events in October 2009, December 2009, February and June 2010. Seismic swarms are 512 generally triggered by variations of the effective stress in fractures (Saccorotti et al., 2001). In 513 the case of Merapi, they could be related to the perturbation of the hydrothermal system due 514 to the intrusion of a deep hot body or to heating by increasing gas flow through the structure. 515 Some deeper earthquakes occurred also before and after the eruption in the close vicinity of 516 Merapi. Establishing a clear relationship between these events and the eruption requires more 517 detailed studies. The precursory seismic activity strictly speaking started at the beginning of 518 September 2010, about a month and a half before the eruption onset. Most VTA events, with focal depths of 2.5 to 5 km, occurred before October 17th. After this date, VTA became very 519 520 scarce while shallow (< 1.5 km) VTB activity strongly increased. Although the focus of 521 seismic activity is not necessarily close to the head of a magmatic intrusion, the marked 522 change in hypocentral positions is quite consistent with the final stage of a rapid ascent of 523 magma shown by petrological data (Surono et al., 2012).

The cumulative seismic energy release through VT and MP earthquakes during the year preceding the eruption reached 7.5 10¹⁰ J. For the previous eruptions of 1992 to 2006, this energy never exceeded 2.5 10¹⁰ J. This much higher level of energy is the most important seismic characteristics of the 2010 eruption and is clearly consistent with its highly explosive nature. Most of this energy was emitted in the last 6 weeks before the initial eruption of October 26th with a striking accelerating rate. Together with deformation and gas emission measurements, this observation formed the basis of the identification of the impending large eruption and the timely decision of evacuation within a more extended region than usual

532 (Surono et al., 2012). Seismic activity originates mainly from mass movements inside the 533 structure, such as magma intrusion and gas release, which produces stress variations and 534 ground deformations. There is thus a relationship between seismic energy release. 535 deformation, and volume change (McGarr, 1976; Yokoyama, 1988). In 2010, the bulk volume of juvenile deposits was estimated at $0.03 - 0.06 \text{ km}^3$ (Surono et al., 2012), while the 536 corresponding value was 0.01 km³ in 2006 (Sri-Sayudi *et al.*, 2007). The marked difference 537 538 between seismic energy release in 2010 and during previous eruptions can be thus related 539 with difference of magma volume. The high level of energy in 2010 is thus consistent with the 540 rapid ascent of a large amount of volatile-rich magma (Surono et al., 2012). This much larger 541 volume of magma through the relatively narrow 2006 conduit produced rock damaging, 542 creep, connexion of pre-existing network of cracks, and failure (Voight, 1988; De la Cruz-543 Revna and Reves-Davila, 2001; Kilburn, 2003), resulting in conduit enlargement and higher 544 seismic activity. From this point of view, the system could be considered as almost closed 545 before the 2010 crisis. The accelerating seismic activity is also related to the accelerating 546 deformation of part of the summit and both phenomena can be considered as precursory signs 547 of a large explosive eruption. This behaviour significantly differs from those observed before 548 the previous effusive eruptions where no strongly accelerating energy release or deformation 549 occurred during the pre-eruptive stage (Ratdomopurbo and Poupinet, 2000; Voight et al., 550 2000).

551 The seismic energy release before this eruption is of medium order of magnitude compared 552 with those of other eruptions of andesitic or dacitic volcanoes. For example, it is much higher than the cumulative energy release before the 1990 Kelut eruption (5.6 10⁸ J; Lesage and 553 554 Surono, 1995) and that of Redoubt in 1989-1990 ($> 10^8$ J; Power et al., 1994). A similar order of magnitude was obtained at Bezymianny in 1955 (4 10¹¹ J), Tokachi in 1962 (5 10¹⁰ J), or 555 El Chichon (10¹¹ J) in 1982 (Tokarev, 1985; Yokoyama, 1988). On the other hand, the energy 556 557 release at Merapi is more than one order of magnitude smaller than at Shiveluch in 1964 (1.2 558 10¹² J; Tokarev, 1985) and at Mt St Helens before its large eruption in 1982 (~8 10¹² J; 559 Yokoyama, 1988; Qamar et al., 1983). Note that in the latter two cases, the eruptions were 560 associated to the emplacement of a cryptodome and to the gravitational collapse of the 561 volcano flank. The corresponding mechanical behaviour of Shiveluch and Mt St Helens were 562 thus quite different from that of Merapi.

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The accelerated rate of seismic energy was clearly reflected in the RSAM values and offered an interesting opportunity to test the Material Failure Forecast method (Voight, 1988). The results obtained with this model on Merapi show its ability to forecast the eruption time several days before with good precision. The hindsight forecasting trials carried out in this study may help describing a scenario of what would have been obtained if this FFM approach were applied during the pre-eruptive period. Using a starting time on September 12th for calculations, a first estimation of the eruption time would have been obtained before October 6th with very good precision (difference between predicted and eruption times of 3 hours). After this date, because of a marked change in the RSAM tendency, the forecasted time would have shown strong variations for increasing ending time of the fitting window. In addition a larger and larger departure between observed and calculated curves would have appeared. Then it would have been necessary to modify the starting time of the fitting window to the 7th of October. In the subsequent daily trials, the estimated eruption time progressively converges toward the previous estimation and, for ending time later than October 20th, it becomes quite stable with a departure from the eruption time smaller than 1.5 days. The use of RSAM calculated with signals classified according their dominant frequency completes and improves the results. For example, for dominant frequencies in the range [3-5] Hz, the forecasted time

- is quite stable and its difference with the time of occurrence of the first explosion is smaller
- than 4 hours during the last 6 days of the pre-eruptive period.
- These encouraging results are obtained with the assumption that exponent α in the basic
- equation of FFM (eq. 3) is equal to 2. In previous studies, α was found to be close to this
- value which yields mathematical simplifications in the solution of the equation (Voight, 1988;
- Cornelius and Voight, 1995). In the present case, the direct estimations of α which gave
- values close to 2 and the good fitting between the observations and the theoretical curves
- 587 confirms the choice of the exponent.
- The accelerated behaviour of some parameters used in volcano monitoring has been
- interpreted as resulting from damaging processes of solid materials before their failure
- 590 (Voight, 1988; 1989; Cornelius and Voight, 1994). Kilburn (2003) associated the accelerating
- rate of seismicity with the growth and the progressive connection of arrays of pre-existing
- fractures while magma propagates to the surface. De la Cruz-Reyna and Reyes-Davila (2001)
- applied a Kelvin-Voigt viscoelastic model to describe the tertiary creep associated with
- degradation and weakening of the medium preceding the rupture. They fitted a logarithmic
- 595 curve, similar to eq. 4, to the cumulative value of the root mean square of the seismic signal
- recorded before eruptions of Colima volcano, Mexico, and gave correct predictions of their
- 597 time of occurrence. In any of these interpretative models, the system must be closed before
- the unrest. Most of the features of the seismic activity preceding the 2010 eruption of Merapi
- volcano indicate that the magmatic conduit was indeed closed or almost closed in relation to
- 600 the large volume of magma that was intruding. Therefore the physical conditions required by
- the models to produce good estimations of the time of eruption were probably fulfilled in this
- case. On the contrary, before preceding eruptions of Merapi, such as that of 2006, no marked
- accelerating behaviour was observed which is consistent with a much smaller volume of
- magma extruding through an open conduit. Thus, it appears that better forecasts could be
- obtained with FFM for large explosive crisis than for small effusive events. Note that,
- although FFM can provide useful indications on the onset time, it cannot forecast the size on
- the impending eruption.
- One of the main difficulties in using the FFM approach in real-time would have come from
- the sharp variation in the RSAM rate that occurred around October 6th. Similar variations
- were observed before two eruptions of Colima volcano (De la Cruz-Reyna and Reyes-Davila,
- 611 2001). In the case of Merapi, this change may be related with the upward migration of the
- focal depths. This stage may be interpreted as the intrusion of the magma in the aseismic
- ductile zone at 1.5 2.5 km depth followed by the progressive failure of the overlying plug
- that was the last barrier for the magma to reach the surface. The magma progression through
- layers of different mechanical strength may have produced variable load regimes on the
- material yielding fluctuations in the accelerated behaviour of RSAM.
- When using the FFM or similar methods for operational forecasting, it is of paramount
- 618 importance to take into account the many possible sources of uncertainty on the estimation of
- the eruption time (Bell et al., 2011b). Part of the uncertainty comes from the choice of the
- 620 time window used to fit the theoretical curve. In this work, several starting times and many
- ending times of the window have been systematically tested in order to study the stability of
- the estimations and to obtain more confident results. On the other hand, the models are
- slightly improved when classified signals are used to calculate RSAM instead of the complete
- raw records. The best results are obtained for signals with dominant frequency in the range [3-
- 5] Hz which contains mainly VT events. This is consistent with the interpretation of the FFM
- method as discussed above. The very short lag between the estimated times of failure and the

eruption onset suggests that the first explosion occurred immediately after the rupture of the last plug in the conduit. The displacement of part of the summit, measured by EDM, displays also acceleration behaviour. However the use of these observations for eruption forecasting does not give stable and usable solutions. This sector of the crater wall was probably partly uncoupled from the rest of the volcano and it collapsed during the eruption. Its movement was probably not representative of the deformation of the remaining parts of the structure.

8. Conclusion

After an exceptional eruption, it is of paramount importance to carry out a thorough analysis of all the observations produced by the monitoring network and which could not be processed in detail during the crisis. This paper presents some results obtained in this process for the seismic data. Many aspects of the Merapi seismicity in 2010 still remain to be studied and this work is in progress. However at this stage, important features have been already underlined. Beside some early seismic swarms observed 12 to 4 months before the 2010 crisis, the seismic activity of Merapi increased almost monotonically during the last 6 weeks. The number of LF events, VLP events and tremors recorded in this period were larger than for common eruptions. However the most relevant characteristics of the 2010 activity are 1) the high level of seismic energy release, about three times the maximum value obtained for the previous eruptions, and 2) the clear accelerated behaviour observed in the number of VT and MP events, in the release of energy, and in the RSAM values. This behaviour is consistent with the strong acceleration of the displacement of some benchmarks of the summit measured by EDM. These features can be considered as clear evidences that the impending eruption would be much larger than the frequent effusive events. Indeed, they contrast with those of previous eruptions which were not preceded by such marked accelerations.

The good fitting and hindsight forecasting obtained in applying the FFM to RSAM calculated in the pre-eruptive period result from the accelerated nature of this seismicity. This is consistent with evidences that the volcanic system was almost closed with respect to the rapid intrusion of a large volume of magma, in agreement with the high level of energy release and the explosive eruption on 26th October. The trials of a posteriori prediction of the eruption time shows that good precision can be achieved if magma and hypocenter migrations and/or changes of load regime, which may modify the evolution of the observables, are identified and if the forecasting strategy is adapted to this situation. The abrupt modification that appeared in the RSAM rate around October 6th is probably related with the upward shift of the most seismically active region from below to above the aseismic zone located between 1.5 and 2.5 km depth beneath the crater.

In the future, if an episode of unrest of the seismic activity of Merapi produces a large cumulative energy release, with respect to that of the frequent effusive eruptions, with a clearly accelerated rate, and if other observables, such as deformation or gas emission, present similar behaviour, then a large explosion of the same type as that of 2010 should be considered as highly probable. In this case, on condition that their limitations are well understood, the FFM or similar methods would be of great help in supporting decision making for evacuation.

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893 Figure captions

- 894 Fig. 1. Monitoring network of Merapi and location of short-period and broadband stations,
- 895 EDM reflectors and observation posts. Distance from Kaliurang observation post to reflectors
- 896 RK (dotted line) was measured by EDM. Another seismic station (CRM) located at 40 km
- south from Merapi is out of range of the map.
- 898 Fig. 2. Operation intervals of seismic stations in 2009 and 2010. Black vertical line indicates
- end of year 2009. Dotted vertical lines show first eruption onset (October 26th at 10:02) and
- the largest eruption (November 4th at 17:01 UTC or November 5th at 00:01 local time). Most
- of the stations were destroyed by the later eruptions.
- 902 **Fig. 3.** Clock synchronization by seismic noise cross-correlation. Two VT events recorded by
- stations LBH (top) and PUS (middle) when they were synchronized (a) and while GPS clock
- of LBH was out of order (b). Cross-correlation functions of noise (CCF, bottom panels)
- between the two stations when clocks were either synchronized (a) or not (b). Time lag
- between the two CCF is used to correct the clock drift.
- 907 Fig. 4. Different types of event observed on Merapi. For each sample, waveforms recorded at
- 908 two stations and spectrogram are displayed. a) volcanotectonic A; b) volcanotectonic B; c)
- 909 multiphase; d) low-frequency.
- 910 Fig. 5. An episode of tremor that occurred on October 1st, 2010 05:42. Seismogram recorded
- at station PUS, spectrogram, and spectrum calculated on short window around 5000 seconds.
- 912 **Fig. 6.** Seismogram of station PUS on November 4th until station destruction (~21:30). Dotted
- vertical line indicates onset of largest eruption at 17:01 UTC. Although record was saturated,
- the eruption could be detected in low pass filtered (f < 0.1 Hz) seismogram (bottom panel).
- 915 **Fig. 7.** Seismogram of first swarm of October 31st, 2009. It lasted about 3 hours. Another
- larger VT event occurred about 3 hours afterward (right edge of plot).
- 917 **Fig. 8.** Daily numbers of events for period September-December 2010. Dash-dot vertical lines
- 918 indicate date of alert level rising. Bottom panel shows daily RSAM obtained at the
- observatory. The RSAM value on November 5th is about 5 times that of October 26th.
- 920 Fig. 9. Comparison of cumulative energy release of VT and MP earthquakes during one year
- prior to several eruptions from 1992 to 2010.
- 922 Fig. 10. Hypocenters of VT earthquakes. a) Map of epicenters, b) NS cross section, c) EW
- cross section. Hypocenters are indicated by crosses, with their 67 % confidence interval (pink
- ellipses). d) Histogram of the hypocenter depths (black solid bar) and probability density
- 925 function of source depths (black hollow bar), calculated using Monte Carlo method. e)
- 926 Histogram of uncertainties on depth. f) Depths as a function of differences of P-wave arrival
- 927 times between stations DEL and PUS.
- 928 Fig. 11. Depth of events plotted as a function of time on periods of a) October 2009-October
- 929 2010 and b) September-October 2010. Daily numbers of VTA and VTB events are shown by
- 930 red and blue lines, respectively.
- 931 Fig. 12. a) SSAM and its cumulative value for the range [1-3] Hz. b) RSAM and its
- cumulative value calculated with signals whose dominant frequency is in the interval [1-3]

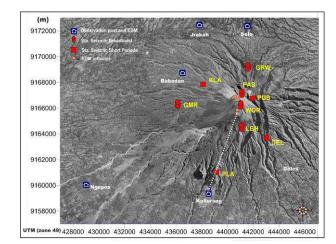
- Hz. c) Same as b), after removing the tectonic events. A marked increase of LF activity
- appears more clearly in the last few days before the eruption.
- 935 **Fig. 13.** RSAM calculated from station PUS (blue area) and its cumulative value (black line)
- 936 during 3 months prior eruption. Cumulative RSAM for signals with dominant frequency in
- the ranges 0.01-0.1 Hz (yellow line), 1-3 Hz (brown line), 3-5 Hz (green line), 5-10 Hz
- 938 (magenta line), and 1-15 Hz (red line). Brown dashed vertical lines and arrows indicate main
- 939 explosions.
- 940 Fig. 14. Cumulative RSAM (black line) before eruptions. Theoretical curves calculated with
- 941 FFM with fitting windows from September 13th to October 5th (red line) and from October 7th
- 942 to 26th (blue line).
- 943 **Fig. 15.** Difference between predicted time t_f and time of eruption onset t_{erupt} as a function of
- ending time of the fitting window t_{end} , and calculated with t_{start} = October 7^{th} . Observations are
- a) unfiltered RSAM, b) RSAM calculated for signals with dominant frequency in the range
- 946 0.01-1 Hz, c) same for 3-5 Hz, d) 5-10 Hz, e) 1-15 Hz, and f) variation of the slope distance
- measured by EDM.

Fig. 16. Variation of the slope distance between Kaliurang observatory and the southern part of the summit (circle) and theoretical curves (black line) obtained with different ending times

of the fitting windows. Starting time is October 7th.

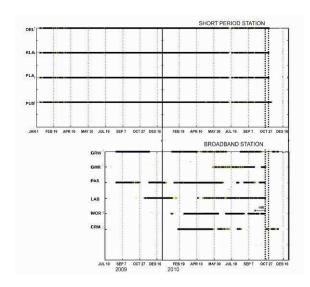


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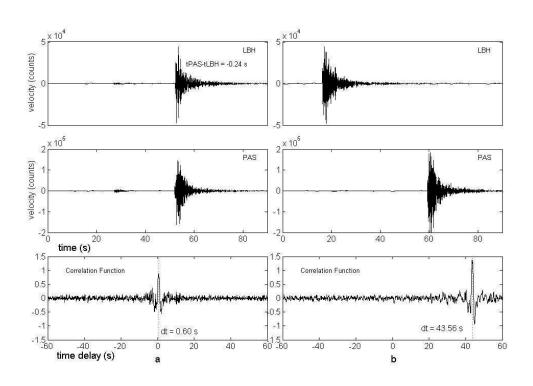


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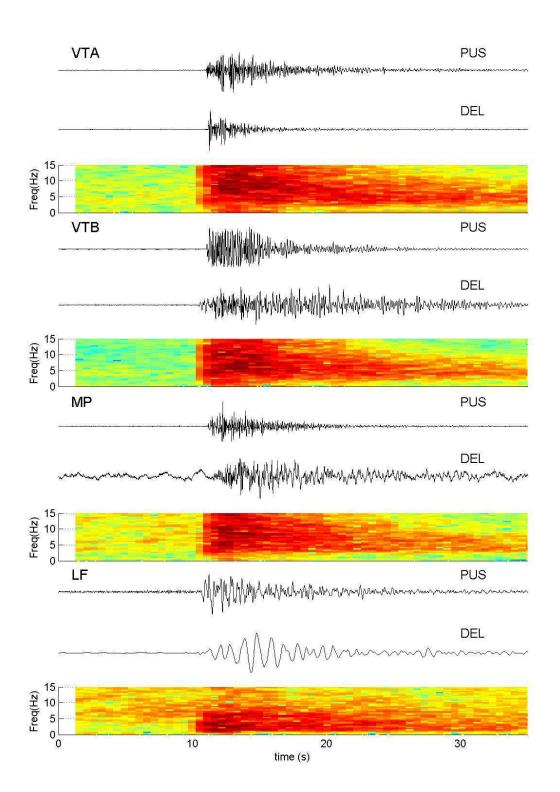
Figure 1.



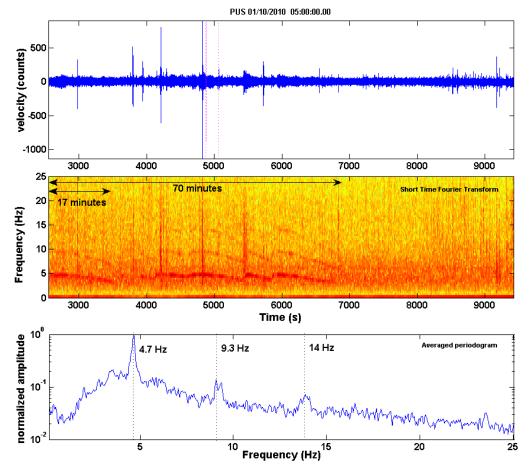
957 Figure 2.



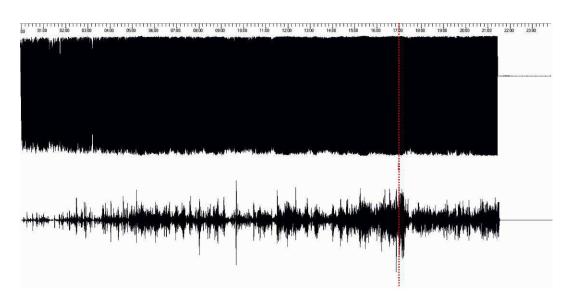
960 Figure 3.



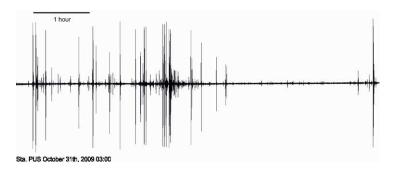
961962 Figure 4.



966 Figure 5.

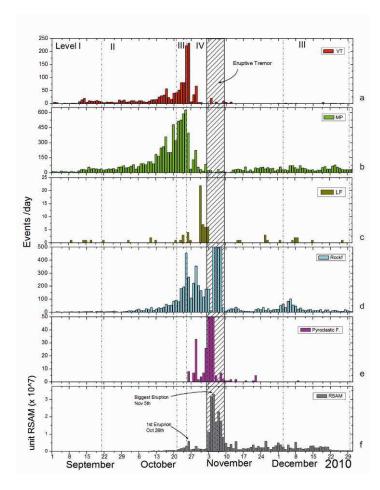


969 Figure 6.

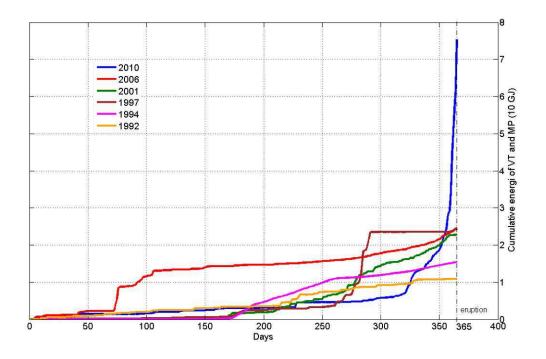


971 Figure 7.

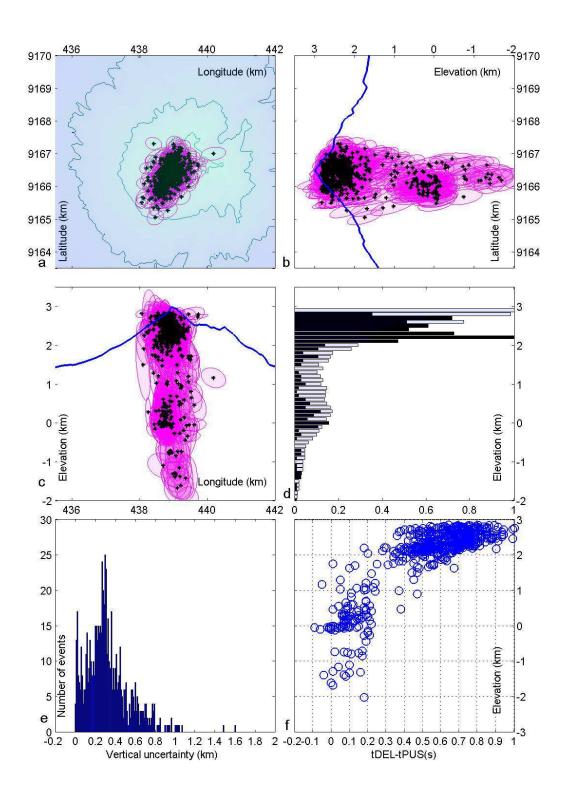
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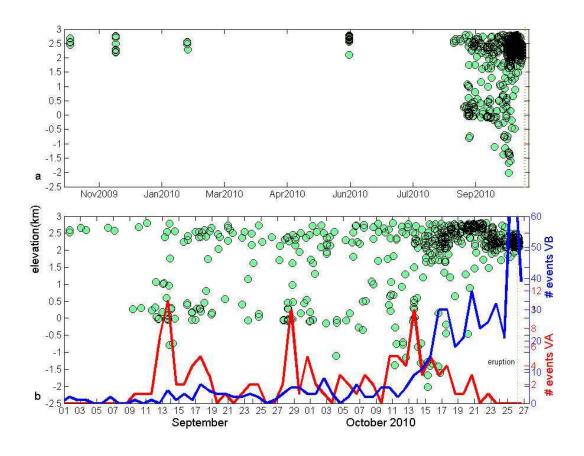
973 Figure 8

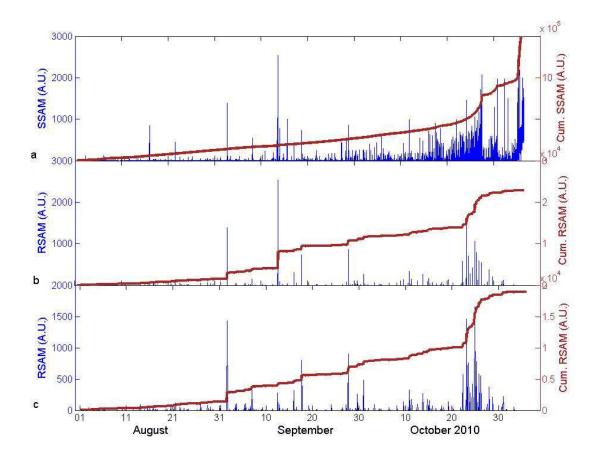


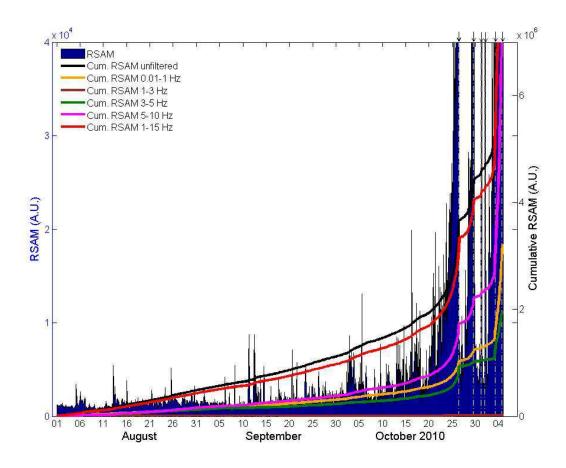
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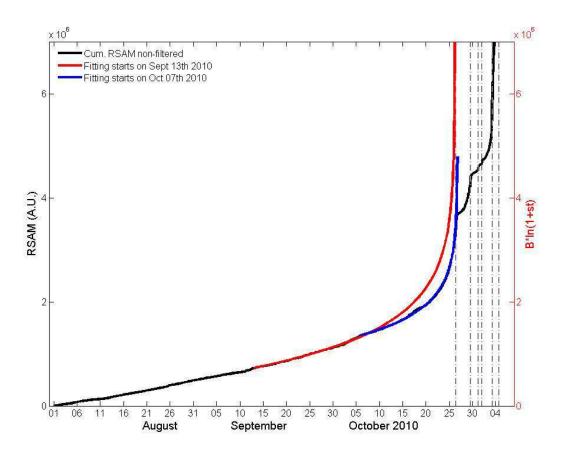


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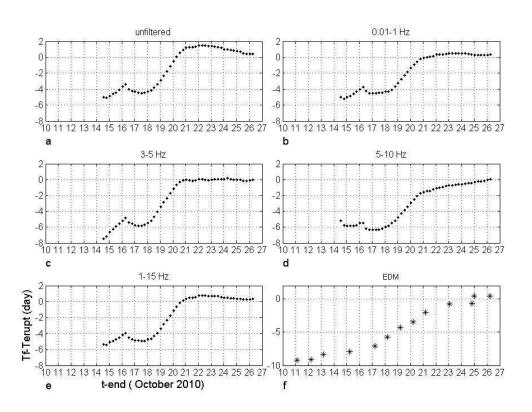








985 Figure 14



987988 Figure 15

